



Cabinet

14th April 2020

The Covey Room/Remote
Participation,
One Angel Square

2pm

Remote participation will be available.

**Democratic Services will circulate more information about this
in due course.**

This agenda is managed by Barbel Gale, Democratic Services.
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* Papers circulated > Papers to follow ⚡ Denotes a key decision

AGENDA

Item No.	Subject	Page No	Responsible Cabinet Member	Contact name & Tel. no. (01604)
01.	Apologies.	--		Barbel Gale 07500 605613
02.	Notification of requests from members of the public to address the meeting.	--		Barbel Gale 07500 605613
03 i & ii	Minutes of the meeting held in public and private on 10 th March 2020	Pack One 5 - 14		Barbel Gale 07500 605613
04.	Declarations of interest by Councillors, if any. Councillors should be reminded of the seriousness of not declaring an interest in any items to be discussed on the agenda, irrespective of whether they are a member of the committee or not. Further guidance is attached to this agenda document.	--		
05.	Announcements	--		
ITEMS REQUIRING DECISIONS				
06. *	Monthly revenue monitoring report for the financial year ending 31st March 2020, as at period 11	Pack One 15 - 50	Councillor Longley	Iain Jenkins 364664
07. * ⚡	Monthly Capital Report (MCR) – Forecast Outturn as at Period 11 for the financial year ending 31st March 2020.	Pack One 51 – 70	Councillor Longley	Andrea Devereux 367348
08. *	Moulton School and Science College - provision of a teaching block and internal reconfiguration to existing teaching spaces. Magdalen College School – provision of a new teaching block to increase secondary capacity within Brackley	Pack One 71 - 76	Councillor Baker	Jackie Desmond 07545 420556

Item No.	Subject	Page No	Responsible Cabinet Member	Contact name & Tel. no. (01604)
09.* 8	Director of Public Health Annual Report	Pack Two 3 - 86	Councillor Morris	Hannah Ellingham 367878
EXEMPT BUSINESS				
<p>In respect of the following items the Chairman may move the resolution set out below, on the grounds that if the public were present it would be likely that exempt information (information regarded as private for the purposes of the Local Government Act 1972) would be disclosed to them: The Committee is requested to resolve:</p> <p>“That under Section 100A of the Local Government Act 1972, the public be excluded from the meeting for the following item(s) of business on the grounds that if the public were present it would be likely that exempt information under Part 1 of Schedule 12A to the Act of the descriptions against each item would be disclosed to them”</p>				
10.				
URGENT BUSINESS				



Susan Zeiss, Proper Officer

Date issued: 2nd April 2020

This information can be made available in other formats upon request. Please contact Barbel Gale, Democratic Services Assistant Manager Democratic Services on Tel. 07500 605613 or email: democraticservices@northamptonshire.gov.uk

Public Contribution to Cabinet Meetings

This section of the agenda provides members of the public with information on the role and membership of the Cabinet, and on opportunities to attend and contribute to Cabinet meetings.

What is the Cabinet?

The Cabinet is the body that takes most decisions relating to the running of the services provided by Northamptonshire County Council, within the policies (for example, the Council budget) that have been agreed by the Full Council.

Who are the members of the Cabinet?

The Cabinet consists of the Leader of the Council and 6 other councillors from the political group that has a majority on the Council. Each of these Cabinet members has specific responsibilities (referred to as their “portfolio”) for different Council services, as follows:

Councillor	Responsibilities
Councillor Matthew Golby	Leader of the Council
Councillor Elizabeth Bowen	Deputy Leader
Councillor Fiona Baker	Children, Families and Education
Councillor Malcolm Longley	Finance
Councillor Ian Morris	Adult Social Care and Public Health
Councillor Sandra Naden-Horley	Corporate Services
Councillor Jason Smithers	Highways and Place

When does the Cabinet meet?

Cabinet meetings usually take place on the second Tuesday of each month at 2pm, the venue will be confirmed when the papers for that meeting are published. Cabinet meeting dates in 2020/2021 are as follows:

- Tuesday 14 April 2020
- Tuesday 12 May 2020
- Tuesday 9 June 2020
- Tuesday 14 July 2020
- Tuesday 8 September 2020

How do I find out about what is being discussed at future Cabinet meetings?

The agenda papers for all Council committee meetings are published 5 working days in advance and can be obtained from County Hall or downloaded from the County Council website at: <http://cmis.northamptonshire.gov.uk/cm5live/>

Every month the Council also publishes a list of future Cabinet decisions known as the Forward Plan. This provides a summary of upcoming business and sets out when particular items are due to go to Cabinet. Copies of the Forward Plan are available from County Hall or at:

<https://cmis.northamptonshire.gov.uk/cm5live/ForwardPlan.aspx>

Can I take part in Cabinet meetings?

Cabinet meetings are normally held in public and members of the public are welcome to attend to listen to discussion. Members of the public may request to address the Cabinet or ask a question on any item on the agenda for that meeting. Anyone wishing to speak at a meeting should notify the Committee Manager (whose name and contact details are given on the front page of this agenda) by 12 noon at least two working days before the day on which the meeting is due to take place.

Declarations of Interest

Members are reminded that the Code of Conduct contains provisions relating to the declaration of Disclosable Pecuniary Interests, (DPI), and Non-Statutory Disclosable Interests, (NSDI). Please refer to the Members' Code of Conduct in Part 5 of the Constitution for a fuller description of what constitutes a DPI or an NSDI.

Members are asked to note that under the new Code of Conduct, they need only declare the existence of either a DPI or NSDI, if that interest is **not** already listed in their register of Members' interests. Councillors are reminded of the seriousness of failing to declare a DPI or NSDI interest.

In addition, Members are reminded that if they have a DPI or a significant NSDI, in a matter to be discussed, whether registered or not, they must not take part in the debate or vote on that matter and should remove themselves from the meeting room irrespective of whether they are a member of the committee.

When declaring an interest at a meeting, councillors are asked to state:

- The item number in which they have an interest;
- The nature of the interest; and
- Whether the interest is a discloseable pecuniary interest, (DPI), or non-statutory disclosable interest, (NSDI).

Seeking Advice...

It is your responsibility to decide whether any of these provisions apply to you in particular circumstances, but you may wish to seek the advice of the Monitoring Officer before the meeting.

Notice of items on this agenda which may be held in private

At times it is necessary for the Council to give consideration to items where the public must be excluded from the meeting. Members of the public are excluded from meetings whenever it is likely that, in the view of the nature of the business to be transacted or the nature of the proceedings that confidential information would be disclosed. This includes exclusion from access to any pertinent documents.

Details of the exemption categories can be found in the 'Access to Information Procedure Rules' section in the Council's Constitution.

The table in this section lists any items which may be considered in private at this meeting, the reason for holding the meeting or part of the meeting in private, any representations made to the Council regarding why the meeting should be held in public along with the Council's response to these representations.

Details of any items of this agenda which may be held in private

Decision making body:	Cabinet		
Date & Time of meeting:	None		
Item in respect of which the meeting or part of the meeting may be held in private	Reason for holding the meeting or part of the meeting may be held in private	Details of any representations made to the Council regarding why the meeting should be held in public	Details of the Council's response to the representations



Democratic Services
One Angel Square
Angel Street,
Northampton, NN1 1ED

CABINET

Minutes of the meeting held on 10 March 2020 at 2pm

Venue: Covey Room, One Angel Square, Northampton (Meeting held in public and private)

PRESENT (FOR ALL OR PART OF THE MEETING):

Cabinet Member	Portfolio
Councillor Matthew Golby	Leader of the Council
Councillor Elizabeth Bowen	Deputy Leader
Councillor Fiona Baker	Children, Families and Education
Councillor Malcolm Longley	Finance
Councillor Ian Morris	Adult Social Care and Public Health
Councillor Sandra Naden-Horley	Corporate Services
Councillor Jason Smithers	Highways and Place

OTHER COUNCILLORS PRESENT (FOR ALL OR PART OF THE MEETING):

Councillor Jane Birch	Councillor Wendy Brackenbury
Councillor Pinder Chauhan	Councillor James Hakewill
Councillor Eileen Hales	Councillor Andrew Kilbride
Councillor Graham Lawman	Councillor Arthur McCutcheon
Councillor John McGhee	Councillor Anjona Roy
Councillor Mick Scrimshaw	Councillor Chris Stanbra
Councillor Danielle Stone	

ALSO PRESENT (FOR ALL OR PART OF THE MEETING):

Officer	Role
Simon Deacon	Deputy Chief of Staff for the Northamptonshire Commissioners
Anna Earnshaw	Director of Adults' Services, Public Health and Wellbeing
Chrissie Farrugia	Commissioners Chief of Staff
Barbel Gale	Democratic Services Assistant Manager (Minutes)
Theresa Grant	Chief Executive
Cathi Hadley	Director for Children's Services
Paul Hanson	Democratic Services Manager
Graeme Kane	Executive Director for Strategy, Delivery and Transformation
Rebecca Peck	Assistant Director to Chief Executive
Kerry Purnell	Assistant Director, Corporate & Community Service
Brian Roberts	Finance Commissioner
Barry Scarr	Executive Director of Finance
Susan Zeiss	General Counsel

There were seven members of the press and public in attendance.

31/20 Apologies for non-attendance

There were no apologies.

32/20 Notification of requests from members of the public to address the meeting

There were none.

33/20 Minutes of the meeting held in public and private on 11 February 2020

RESOLVED: The minutes of the meeting held in public and private on 11 February 2020 were agreed and signed as a true record.

34/20 Declarations of Interest by Councillors

Item	Councillor	Type	Nature
20	Golby	Non statutory disclosable interest	He's a member of the South East Midlands Local Enterprise Partnership Board but did not take part in the Boards discussion.

35/20 Announcements

The Chairman explained that work was being undertaken to address the potential effects of Covid-19 and members would be briefed at the appropriate points.

36/20 Monthly revenue monitoring report for the financial year ending 31st March 2020, as at period 10

At the Chairman's invitation, Councillor Longley presented the report (copies of which had previously been circulated).

Councillors made the following points:

- It was asked that Public Health and the NHS sought information regarding the financial aid available to address the pressures associated with Covid-19.
- It was queried why the libraries book fund was underspent and asked if the funding could be transferred to the new financial year.
- It was asked how much money the Section 38 reserves contain and had that amount been approved by the external auditors.
- The report contained a lot of staff vacancies.

The Cabinet recognised the hard work from everyone in achieving that financial position.

The Assistant Director, Corporate & Community Service explained that multi-agency incident control procedures were in place to manage the impact of Covid-19. A working group had been established to discuss the implications of any associated costs for the Council.

RESOLVED: That Cabinet:

- 1. Noted the Council's forecast outturn position for 2019-20, and associated risks.**
- 2. Noted the risk assessment of the 2019-20 saving proposals in Appendix B.**

- 3. Noted and approved the request to write off debt and petty cash balances as set out in Paragraph 5.21-5.24 of the main report.**

37/20 Monthly Capital Report (MCR) – Forecast Outturn as at Period 10 for the financial year ending 31st March 2020.

At the Chairman's invitation, Councillor Longley presented the report (copies of which had previously been circulated). He explained that the anticipated spend for the capital program was £65m.

RESOLVED: That Cabinet:

- 1. Noted the forecast capital expenditure of £65m for 2019-20.**
- 2. Approved and recommended the promotion of seven schemes from the development pool into the committed Capital Programme with investment totalling £3,906k:**
 - i. Red Kite Academy Sixth Form Block - £700k**
 - ii. Corby Secondary Schools Temporary Bulge Capacity - £1,200k**
 - iii. Broughton Primary School Improvements - £340k**
 - iv. Meadowside Primary School Improvements - £50k**
 - v. East Hunsbury School SEND Accommodation - £240k**
 - vi. Schools Dedicated Formula Capital 2019-20 - £721k**
 - vii. Pothole Machinery Purchase - £655k**
- 3. Approved the extension of four schemes within the current Capital Programme with investment totalling £31,029k:**
 - i. Prince William Academy Phase 2 - £140k**
 - ii. HS2 Road Safety Fund works - £947k**
 - iii. North West Relief Road - £29,442k**
 - iv. Community Equipment 2019-20 - £500k**
- 4. Approved the removal of the £250k Billing Brook Mobiles Scheme from the current Capital Programme.**

38/20 Quarterly corporate report on performance – Quarter Three

At the Chairman's invitation, Councillor Bowen presented the report (copies of which had previously been circulated). She highlighted that the number of requests to stay in hospitals had increased, the Council was below the national average for those quitting smoking however the service to address this was being redesigned.

Councillors made the following points:

- It was queried what was being done to reduce the number of permanent exclusions linked to home educated children.
- It was asked if the work was being undertaken to address the number of FOI requests not being processed within deadlines.

The Cabinet explained that the number of apprentices employed was on an upward trend and the number of defects repaired on the road network continued to rise. The Council was raising the profile of home educated children where possible and had asked the Local Government Association to conduct a national review. The backlog of outstanding FOI requests was being addressed.

RESOLVED: That Cabinet noted the contents of the 2019-20 quarter three corporate scorecard at Appendix A and the supporting narrative at Appendix B.

39/20 Climate Change, Carbon Management and the Environment

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) and made the following points:

- The Council had been committed to addressing the cause and effects of climate change since 2006 and had received awards for many of its initiatives.
- The Council had achieved a 72% reduction in its own carbon emissions and had committed to buying green energy going forward.
- A new tree planting initiative with the Woodland Trust would be later this year.

Councillors made the following points:

- It was asked the Council would be carbon neutral by 2030.
- It was queried what was being done to address emissions from landfills.
- Could consideration be given to creating green spaces around schools?
- The number and locations of electric vehicle charging points needed to be addressed.
- The Climate Change motion to Full Council had not been incorporated into the Council's policy so therefore could not be taken into account when considering a recent planning application for a waste plant in Corby.
- The Cabinet was asked to consider how this could be incorporated into policy going forward.

The Cabinet explained that tree planting had been reinstated and was included on all highway schemes and thanks was given to South Northamptonshire Council for contributing to the tree planting campaign. The plan was to be carbon neutral by 2030 however this was not binding on the Council. Consultation on electric vehicle charging points was already being carried out. The unitary authorities would be able to consider how they would address the issue of climate change.

RESOLVED: That Cabinet:

- 1. Noted progress made to date to address causes and effects of climate change in Northamptonshire.**
- 2. Considered actions to enable the County Council to be carbon neutral by 2030 in particular:**
 - **that NCC re-instate the partnership tree planting initiative, building upon the success of previous approaches with the Woodland Trust to plant more trees with landowners throughout the County, at a cost of £20k per annum for a minimum of the next 5 years; and**
 - **that NCC explore opportunities to invest in Photovoltaics (PV's) and other sources of renewable energy on NCC owned land and other areas with any potential scheme subject to a further Cabinet report.**
- 3. Endorsed the refreshed Partnership Climate Change Strategy for Northamptonshire 2020-2023.**
- 4. Agreed that this report be taken to Full Council to demonstrate progress with addressing climate change as agreed within the Climate Emergency declaration made in June 2019.**

40/20 School organisational changes: Establishment of an amalgamation policy

At the Chairman's invitation, Councillor Baker presented the report (copies of which had previously been circulated) and explained that there were 18 pairs of linked primary schools in the county with 6 of those being maintained by the Council. The new policy if

agreed would apply to those 6 maintained schools. The policy had been amended as a result of consultation undertaken.

RESOLVED: That Cabinet:

- 1. Noted the educational, governance, leadership and financial benefits that can be realised through the amalgamation of linked Infant and Junior schools to form 'all-through' primary provision;**
- 2. Approved the establishment of a Northamptonshire County Council 'Amalgamation Policy';**
- 3. Noted that any proposed amalgamation of a linked Infant and Junior school would be subject to distinct Cabinet approval and an associated statutory process.**

41/20 New school places for Corby: Provision of mainstream, temporary 'bulge' secondary school capacity and permanent additional SEND capacity

At the Chairman's invitation, Councillor Baker presented the report (copies of which had previously been circulated).

Councillors made the following points:

- It was queried why the increase in demand in SEND school places had not been predicted.
- It was felt that the free school presumption made school place planning more difficult.

RESOLVED: That Cabinet:

- 1. Noted that Red Kite SEND Academy is increasing its Published Admission Number (PAN) from 100 to 120, to accommodate an additional students;**
- 2. Agreed the capital scheme for the new sixth form modular block at Red Kite Academy and authorise the scheme to proceed to the construction phase within available and agreed financial resources;**
- 3. Approved the financial and governance arrangements associated with the capital scheme;**
- 4. Delegated authority to the Director of Children First Northamptonshire, in consultation with the Cabinet Member for Children, Families and Education, to approve, accept, award and appoint the preferred bidders and to execute or authorise the execution of all the necessary documentation to facilitate the scheme;**
- 5. Noted that Corby Technical School and Brooke Weston Academy will temporarily increase their PANs from 150 to 234 and 180 to 186, to provide an additional three forms of entry of temporary 'bulge' capacity in Corby for the 20/21 and 21/22 academic years;**
- 6. Approved the capital funding required to provide the additional capacity required to accommodate the increased number of students that will attend each of the schools named above; and**
- 7. Noted the findings of the Equalities Impact Assessment form completed in relation to this report.**

42/20 Transport Studies and Highway Scheme Preparation

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) explaining that the proposal created the ability to unlock additional funding for infrastructure in Northamptonshire. The Borough Council of

Wellingborough were contributing to the A509 Isham Bypass scheme. South Northamptonshire Council were contributing to the A422 Farthinghoe Bypass to progress the scheme. Northampton Borough Council were contributing funding towards the Northampton Transport Strategy.

Councillors acknowledged that the A43 Northampton to Kettering Improvements Phase Three would assist with housing growth and traffic congestion in the area.

The Cabinet praised the benefits of working in partnership to deliver infrastructure schemes that would provide good transport links across the county.

RESOLVED: That Cabinet:

- 1. agreed the programme of Transport Studies and Highway Scheme Preparation set out in Section 5 of this report, and**
- 2. agreed that delegated authority be given to the Deputy Chief Executive and Director of Adults, Communities and Wellbeing, in consultation with the Cabinet Member with responsibility for Highways and Place, to agree terms and the award of contracts for the programme following appropriate procurement as set out in section 6 of this report.**

43/20 Bikeability Cycle Training

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) explaining the Bikeability scheme was funded by the Government at no cost to the Council. Funding being provide for the first year of the four year scheme was expected to be £143,565 and it could be passed on to the Unitary Authorities to manage.

RESOLVED: That Cabinet agreed that delegated authority be given to the Deputy Chief Executive and Director of Adults, Communities and Wellbeing, in consultation with the Cabinet Member for Highways and Place, to agree the terms and award of a contract for Bikeability cycle training.

44/20 Purchase of machinery to repair potholes

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated). The proposal was to purchase three new machines to increase the Council's ability to conduct road repairs. The machines would provide both environmental and cost benefits to the Council with repairs lasting longer.

Councillors made the following points:

- Clarity on the number of defects/pot holes repaired was sought.
- The rural areas should not be prioritised because the machines were needed across the whole county.

The Cabinet explained the machines would be used in all areas of the county and would increase productivity.

RESOLVED: That Cabinet:

- 1. Endorsed the proposal to purchase machinery to repair potholes;**
- 2. Supported capital investment for the proposal; and**
- 3. Delegated authority to the Executive Director for Strategic Delivery and Transformation, in consultation with the Cabinet Member for Highways and**

Place, to progress the procurement, subject to appropriate finance being agreed.

45/20 Residential Short Breaks for Carers of Disabled Children (Children with Special Education Needs and Disability - SEND)

At the Chairman's invitation, Councillor Baker presented the report (copies of which had previously been circulated) explaining the proposal was to extend the current contract until March 2021. Consultation would be undertaken.

Councillors queried if there was enough provision available and asked what the scale of need was against that provision. It was also asked why the Council was not providing this service directly.

The Cabinet explained that any new contract procured would start in March 2021 and that on the occasion outsourcing the service had proved the best option.

RESOLVED: That Cabinet:

- 1. Agreed the proposed exemption and extension of the contract for Northampton Healthcare Foundation Trust (NHFT) to continue to deliver the residential short breaks service until 31 March 2021.**
- 2. Agreed with the principle that the model for residential short breaks will be reviewed and market tested over the next 4 months.**
- 3. Agreed that the most successful model following the pilot will be used as the basis to recommission the service for delivery from 1 April 2021.**
- 4. Agreed the proposals to consult with families and the public regarding the future model of residential short breaks provision.**

In keeping with this, it was requested that Cabinet:

- 1. Agreed that delegated authority is given to the Director of Children's Services, in consultation with the Cabinet Member with responsibility for Children's Services, to exempt and extend the current contract and re-procure the residential short breaks offer.**
- 2. Agreed to award the contract/s based on a transparent and fair competitive process.**

46/20 Street Lighting PFI –Conversion to LED lighting and full CMS

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) explaining that the Council was replacing the existing lighting with LED units. It was anticipated that the proposal could generate projected energy savings of £24m over the remaining life of the contract.

Councillors queried why a business case had not already been developed for the proposal.

RESOLVED: That subject to there being no requirement for prudential borrowing, Cabinet:

- 1. Agreed that delegated authority be given to the Executive Director of Finance in consultation with the Cabinet Member for Highways and Place and the Commissioners to agree the financial business case for the investment.**
- 2. Agreed that delegated authority be given to the Executive Director Strategic Delivery and transformation, in consultation with the Cabinet Member for Highways and Place, to take all steps necessary to agree and sign a Framework Agreement with Balfour Beatty**

- 3. Agreed that delegated authority be given to the Executive Director for Strategic Delivery and Transformation, in consultation with the Cabinet Member for Finance, to take all steps necessary to agree and sign and enter into agreements with Salix Finance Ltd to allow this project to be delivered.**

47/20 Disaster Recovery

At the Chairman's invitation, Councillor Bowen presented the report (copies of which had previously been circulated) explaining this was an interim solution and a longer term solution would be developed as part of the unitary authorities work program.

Councillors highlighted that this issue was first raised at the Audit Committee and agreed that the final solution should be agreed by the unitary authorities.

RESOLVED: That Cabinet:

- 1. Noted the progress in reviewing options to put in place a suitable disaster recovery solution to cover the council against a range of IT failures.**
- 2. Approved the recommended option to implement an interim solution for Disaster Recovery.**
- 3. Noted that any longer term solution so far scoped, will be further developed and considered as part of the Future Northants Programme.**
- 4. Agreed that delegated authority be given to the Director of Finance in consultation with the Cabinet Member for IT services to take necessary steps to implement the interim solution.**

48/20 Highway and Transport Block Funding

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) explaining that the Government had not yet confirmed the annual funding level therefore delegated authority was sought for this proposal to ensure work could continue where needed.

RESOLVED: That Cabinet agreed delegated authority be given to the Executive Director of Finance, in consultation with Deputy Chief Executive and Director of Adults, Communities and Wellbeing, Executive Director of Strategic Delivery and Transformation, the Cabinet Members for Finance and Highways and Place, and the Commissioners to promote from the Development Pool to the committed Capital Programme any schemes funded from the main annual capital grant funding received from the Department for Transport as set out in section 5 of this report, up to the value of the grant allocations.

49/20 Approval of the following updated Northamptonshire County Council policies on the Anti-Money Laundering Policy, the Counter Fraud Corruption Policy and the Whistleblowing Policy

At the Chairman's invitation, Councillor Naden-Horley presented the report (copies of which had previously been circulated) explaining that the policies were reviewed by the Audit Committee in June 2019 and have been subsequently updated.

Councillors praised the work of the Audit Committee for reviewing these policies and assurance was sought that the updated policies addressed public accountability.

The Chief Executive indicated that any allegations of fraud should be reported to her for consideration.

RESOLVED: That Cabinet:

- 1. Approved the updated Anti-Money Laundering Policy;**
- 2. Approved the updated Counter Fraud Corruption Policy;**
- 3. Approved the updated Whistleblowing Policy;**
- 4. Agreed that the above named Policies are published on the Council's website and the Intranet; and**
- 5. Agreed that delegated authority be given to the Monitoring Officer in consultation with the Cabinet Member with the responsibility for Corporate Services to approve any additional guidance related to the updated policies.**

50/20 Northampton North West Relief Road

At the Chairman's invitation, Councillor Smithers presented the report (copies of which had previously been circulated) and explained that the proposal was subject to planning permission and contract award. This proposal did not comprise or pre-determine any decision due to be considered by the Development Control Committee later this year.

RESOLVED: That Cabinet:

- 1. Agreed that, subject to a grant of planning permission for the scheme by the Development Control Committee, delegated authority be given to the Deputy Chief Executive and Director of Adults, Communities and Wellbeing in consultation with the Cabinet Member for Place & Highways, to acquire the land necessary for the construction of the scheme, including if necessary a Compulsory Purchase Order, as outlined in section 6 of this report.**
- 2. Agreed to award a Design & Build Contract for the scheme to Balfour Beatty Civil Engineering Limited, subject to a break clause exercisable by the Deputy Chief Executive and Director of Adults, Communities and Wellbeing in consultation with the Cabinet Member for Highways & Place before progress to Stage 2, as set out in Section 7 of this report;**
- 3. Supported capital investment for the scheme as set out in Section 8 of this report.**

At this point in respect of further discussion on item 21, Update on the Shaw PFI contract variation and item 22, LGSS Review of Future Options, the Cabinet agreed that it was:

RESOLVED: that under section 100A of the Local Government Act 1972 the public be excluded from the meeting for this item of business on the grounds that if the public were to be present it would be likely that exempt information under part 3 of schedule 12A to the act would be disclosed to them.

51/20 Update on the Shaw PFI contract variation

At this point in respect of further discussion on this item the Cabinet agreed that it was:

RESOLVED: that under section 100A of the Local Government Act 1972 the public be excluded from the meeting for this item of business on the grounds that if the public were to be present it would be likely that exempt information under part 3 of schedule 12A to the act would be disclosed to them.

RESOLVED: That Cabinet agreed the recommendations as set out in the report, which was exempt from publication by virtue of paragraph 3 of part 1 of 12a of the Local Government Act 1972.

52/20 LGSS Review of Future Options

At this point in respect of further discussion on this item the Cabinet agreed that it was:

RESOLVED: that under section 100A of the Local Government Act 1972 the public be excluded from the meeting for this item of business on the grounds that if the public were to be present it would be likely that exempt information under part 3 of schedule 12A to the act would be disclosed to them.

RESOLVED: That Cabinet agreed the recommendations as set out in the report, which was exempt from publication by virtue of paragraph 3 of part 1 of 12a of the Local Government Act 1972.

There being no further business the meeting concluded at 4PM

Barbel Gale
Democratic Services

Signed: _____ Dated: _____



CABINET

14th APRIL 2020

EXECUTIVE DIRECTOR OF FINANCE: BARRY SCARR

CABINET MEMBER WITH RESPONSIBILITY FOR FINANCE:

COUNCILLOR MALCOLM LONGLEY

Subject:	Monthly revenue monitoring report for the financial year ending 31 st March 2020, as at period 11.
Recommendations:	Cabinet is requested to; <ol style="list-style-type: none">1. Note the Council's forecast outturn position for 2019-20, and associated risks.2. Note the risk assessment of the 2019-20 saving proposals in Appendix B.3. Note the comments in relation to national funding for Covid19 at paragraph 6.5.

1 Purpose of Report

1.1 This report provides a summary of the forecast outturn position for the 2019-20 financial year, based on income and expenditure up to the end of February 2020.

2 How this Decision Contributes to the Council Plan

2.1 The Council's vision is for Northamptonshire to be a county where everyone looks after each other and takes responsibility, where the vulnerable are protected and supported and where the people who can help themselves receive the assistance they need to stay independent and healthy.

This initiative helps the Council to deliver this vision through the following strategic priorities outlined in the Council Plan:

- Working in partnership with other public sector organisations (such as the seven district and borough councils, the local NHS bodies, and Northamptonshire Police).
- Enabling individuals and communities to achieve better outcomes.
- Using innovation to find better and more sustainable ways of delivering services ensuring they are efficient and affordable in the long term.
- Focusing resources on prevention and early intervention.
- Commissioning and procuring services and goods with partners.
- Utilising the Council's assets effectively.

3 Summary

3.1 The report provides detailed commentary on the Council's current forecast financial position, as at the end of February 2020, which shows an underspend of £151k against the approved budget of £417.7m (-0.04%).

3.2 The £151k underspend is a favourable movement of £32k from the position reported in Period 10. This is in the main due to favourable movements of £1,597k, which is partly offset by adverse movements of £1,565k;

3.3 A detailed breakdown of the financial position for each directorate is provided in Appendix A. In summary, every directorate is reporting a balanced or underspend position, apart from the following areas which are overspending:

a) Children First Northampton (CFN)	£7.5m
b) Technical Finance, Treasury & Insurance	£2.1m
	<hr/>
	£9.6m

3.4 The £9.6m pressure is offset by forecast underspends of £8.8m across the other directorates, and additional anticipated funding of £1.0m. Further details on funding is in section 7.

4 Background

4.1 The Council's budget for 2019-20 is £417.7m and was approved on 21st February 2019. This included £41.4m of savings. This report includes an analysis of the deliverability of these savings proposals, in-year variations to budgeted assumptions, and a set of management actions to reduce the forecast overspend position.

5 Estimated Outturn

5.1 The Council's forecast outturn for 2019-20, based on spending to the end of February, is an underspend of £151k.

5.2 Table One shows:

- The net budget approved by the Council for the year.
- The forecast net spend for the year based on spend to the end of February 2020.
- The difference between the two, being the forecast variance at the year end.
- The changes that have occurred between periods ten and eleven.

Table One: Forecast Outturn 2019-20 by Directorate

	Net Budget	Forecast Net Spend at 31/03/20	P11 Forecast Variance at 31/03/20	P10 Forecast Variance at 31/03/20	Movement from prior period
	£'000	£'000	£'000	£'000	£'000
Corporate Services	12,821	11,054	(1,767)	(1,155)	(612)
Children First Northamptonshire	116,768	124,271	7,503	7,584	(81)
LGSS	14,163	14,217	54	3	51
Northamptonshire Adult Social Services (NASS)	159,458	156,583	(2,875)	(2,714)	(161)
Place Services	90,332	86,202	(4,130)	(3,387)	(743)
Public Health & Wellbeing	37	37	0	0	0
Technical Finance, Treasury and Insurance	24,141	26,221	2,080	566	1,514
Total budgeted expenditure	417,720	418,585	865	897	(32)
Less funding	(417,720)	(418,736)	(1,016)	(1,016)	0
Net Position 2019-20	0	(151)	(151)	(119)	(32)

5.3 **Corporate Services** is forecasting an underspend of £1,767k. This is a favourable movement of £612k from the position reported in Period 10.

5.4 **Change from Period 10** – £563k of the increased underspend is within Corporate and Community Services and is mainly due to additional income in the Registration Service (£157k), additional underspends in the Library Service (£116k) and Coroners (£100k). There are also favourable movements in Country Parks (£65k), Emergency Planning and Community Safety (£43k); Customer, Culture and Place Management (£42k) and other minor variances (40k).

5.5 The Registration Service have increased their income forecast this month following the resolution of implementation issues with a new service management system. The increase in forecast income reflects a higher number of wedding bookings and a 10% increase in activity requiring the issue of certificates.

5.6 The Library Service have reduced their forecast expenditure, mainly due to the Book Fund (£77k) where fewer books have been ordered due to staff absence. There is also a reduced forecast on redundancies (£20k) and reduced lease

charges for photocopiers as many have now reached the end of their lease period (£22k).

- 5.7 The forecast for the Coroner's Service has decreased by £100k this month. Overall this is due to the delayed transfer of staff from Police, which was partially offset by additional costs associated with the transfer and by the potential for additional mortuary costs due to an increase in activity since December. However, the transfer costs have not been as high as expected and the additional mortuary capacity was addressed by a low cost solution.
- 5.8 The forecast for Country Parks has decreased by £65k due to £30k of parking income from last year being only allocated to the service this month; £30k capitalisation of costs relating to parking machine infrastructure; and the remaining balance due to a decrease in grounds maintenance costs.
- 5.9 There are also decreases in the forecast for Emergency Planning and Community Safety (£42k), mainly due to the removal of forecast internal recharges, and for Customer, Culture and Place Management (£42k) as a result of expenditure being identified as chargeable against Brexit funding received from central government.
- 5.10 There have also been favourable movements totalling £49k in the other areas of Corporate Services. This is mainly due to a decrease in forecast of £46k in Executive Support of which £28k relates to recharges to Public Health and Local Government Reorganisation (LGR) for administration support and £10k relates to a reduction in the forecast cost of a management review.
- 5.11 The total variations to budget in 2019-20 for Corporate Services are as follows:

Savings Delivery Pressure (£1,578k savings target):

Emergency Planning - discontinuation of contribution from Public Health towards the Emergency Planning team.	73
Non-deliverable Public Health proposal related to aligning prevention programmes across Directorates - savings not.	525
	<hr/> 598

Budget Delivery: Over-delivery

General Public Health contribution to NCC support costs.	(291)
One-off additional Public Health contribution to NCC support costs.	(176)
Northamptonshire Sport grant hosting fee.	(25)
	<hr/> (492)

Net Budget savings shortfall

106

In-Year Budget Variations – Overspends:

Corporate & Community Services:

Grendon Hall - residual costs following closure. 28

Other:

Pressure due to the need for additional staffing resources. 237

Costs associated with the review of LGSS. 42

Statement of Accounts production - latest forecast overspend on external audit fees relating to the 2017-18 audit. This £67k pressure is in addition to the overspend reported within 2018-19 of £342k, as a result of the continued audit work.	67
Other minor overspends	19
	<u>393</u>
In Year Budget Variations – Underspends:	
Corporate & Community Services:	
Customer Services - underspend due to a reduction in staff costs through vacancy management, a reduction in the use of agency staff and income to be received from other services for customer services posts.	(357)
Business Intelligence - underspend due to staff vacancies.	(182)
Communications and Marketing – underspend due to staff vacancies.	(136)
Archives and Heritage - underspend due to staff vacancies.	(84)
Registration Service – additional income from an increase in wedding bookings and 10% increase activity requiring the issue of certificates.	(172)
Coroners Service – underspend due to the delayed transfer of staff from Police.	(254)
Library Service – underspend due to staffing, book fund and increased income due to the delayed transfer of libraries to the community groups.	(498)
Customers, Culture & Place Management - mitigation of contribution from Public Health towards Emergency Planning team through underspends in CCP Management.	(73)
Customers, Culture & Place Management – underspend due to expenditure being identified as chargeable against Brexit funding received from central government.	(17)
Emergency Planning & Community Safety – forecast underspend on staffing.	(68)
Country Parks - additional parking income and capitalisation of parking machine infrastructure	(87)
Other minor underspends.	(38)
Other:	
Underspend on staffing within the Executive Support area due to part-year vacancies.	(49)
Democratic Services - underspend in members' allowances following decisions taken at the annual meeting in May, a cost controlled approach to civic events, vacancies and increased income.	(142)
Elections - forecast underspend due to reduction in likelihood of a by election where costs would fall into 2019-20.	(30)
Other minor underspends within Executive Support.	(79)
	<u>(2,266)</u>
Net Position – Corporate Services	<u>(1,767)</u>

- 5.12 **Children First Northamptonshire (CFN)** is forecasting an overspend of £7,503k. This is a favourable movement of £81k from the position reported in Period 10.
- 5.13 Change from Period 10 – The proposal to create an earmarked reserve of £193k for future Visa costs for our internationally recruited social workers is included in the Period 11 position. Employing international Social Workers has been a strategy used by the authority to relieve critical shortages in the Social Work workforce since 2014. When the workers are employed from outside the EU, there are associated Visa and NHS surcharge costs. The earmarked reserve will ensure future funding is available for the costs of 36 social worker Visa extensions.
- 5.14 The bad debt provision calculation for CFN has been refreshed for period 11 which has identified a need to top up the provision by an additional £91k above the budgeted amount.
- 5.15 This month the forecast net staffing underspend across CFN has reduced by £60k to £1,642k.
- 5.16 The Educational Psychology service has increased the forecast income shortfall by £54k this period. This is because demand for statutory work continues to grow which limits the resources available for traded work. A review is underway to consider how to maximise capacity to increase traded work.
- 5.17 Due to backdated payments for special guardianship orders, as well as a small increase in the weekly adoption allowance payments, the forecast underspend on the adoption provision has reduced by £50k.
- 5.18 The managed underspend previously reported by the Strategic Planning service has reduced by £40k following a review of present obligations expected to be recognised this financial year.
- 5.19 Following a reconciliation of historic out of county adoption agreements, a number of inter-agency adoption fees were identified which had not been billed to date. This has resulted in a favourable movement of £168k in the inter-agency adoption fee forecast. The process relating to out of county agreements will be reviewed moving forward to ensure future invoices are raised in a timely manner.
- 5.20 The forecast in-year demand pressures on external agency placements has been reduced further by £100k following a sustained period of relative stability in terms of placement cost and placement numbers. The placements review panel continues to meet bi-weekly to ensure that our children are being placed in the most beneficial setting with appropriate support. The Panel has also directed operational changes to further improve the final position.

- 5.21 The forecast UASC placement expenditure has reduced by £70k this period as there continues to be less UASC entrants into care. Furthermore, over-accrued expenditure relating to prior year UASC placements can be released this period resulting in an additional favourable movement of £70k in the forecast position.
- 5.22 The forecast income from penalties for unauthorised school absences has increased by £32k following the end of the autumn term due to greater numbers of unauthorised absences than previously forecast.
- 5.23 Following the decision to cease allocating grants for non-residential short breaks for the remainder of the financial year, an additional underspend of £19k has been released into the forecast position this period. The programme is due to restart in April 2020 and there will be no impact on requests for the grant as there has not been any applications for the remainder of the financial year.
- 5.24 There are a number of other minor underspends included in the forecast this period with an aggregate total of £109k.
- 5.25 The total variations to budget in 2019-20 for CFN are as follows:

Savings Delivery Pressure (£10,296k savings target):	£'000
Non-delivery of staffing related savings proposals:	2,906
- Agency Staff conversion 18-002-03 (£1,547k) due to delays in the conversion campaign which is dependent on the completion of the CFN Social Worker Career Pathway.	
- Reorganisation 18-002-04 (£818k) due to assumed dissolution of dedicated teams which is no longer planned.	
- Continuation of international social worker recruitment 18-002-09 (£541k) due to lower uptake than anticipated and a longer than planned integration period.	
A reduction in the savings anticipated from Agency placements 18-002-18 due to slippage in establishing new residential provisions.	1,200
A reduction in the savings anticipated from the legal spend proposal 18-002-05 due to costs not reducing in line with budget expectations.	750
A reduction in the savings anticipated from the review of mother and baby assessments proposal 18-002-10 due to increase in Demand for assessments.	400
A reduction in the savings anticipated from the review of appeals rights exhausted / no recourse to public funds accommodation proposal 18-002-08 due to increased demand and slippage in completing assessments.	325
Undeliverable Placement Sufficiency 18-002-14 following unsuccessful price negotiations with providers.	300

A reduction in the savings anticipated from the reduction in Section 17 support 18-002-08.	200
A reduction in the savings anticipated from UASC accommodation 18-002-13 because of slippage in establishing new provisions.	130
	6,211

In-year Budget Variations – Overspends:

In-year demand pressures on Agency Placements due to rising average costs and the number of entrants into care being higher than anticipated.	2,400
Additional in-year demand pressures for social care transport provision.	1,100
Fostering care provision demand pressure.	800
Educational Psychology income shortfall because of the increased demand for statutory work which limits the resources available for traded work.	350
Mother and baby assessments additional demand pressure.	265
Business support restructure: additional staffing costs.	250
Additional management capacity to support improvement.	208
ARE demand pressure and NRPF growth.	175
Care provision demand pressure.	130
Inter-agency adoption fees.	100
Additional legal services demand pressure.	100
Bad debt provision top up.	91
	5,969

In-year Budget Variations – Underspends:

Net staffing budgets underspend due to the difficulty in recruiting to Qualified Social Worker posts / vacancy management.	(1,642)
UASC placement net underspend.	(760)
Additional UASC grant income.	(723)
Release of placements over-accrued expenditure.	(652)
Additional unauthorised absence penalty income.	(192)
Release of over-accrued Troubled Families expenditure.	(100)
Strategic planning underspend.	(75)
Underspend on Adoption related payments.	(50)
Other minor variances	(311)
- Schools redundancies underspend (£99k)	
- Commissioning underspends (£75k)	

- Reduction in partnership board contribution (£48k)	
- Disabled Childrens team underspends (£36k)	
- Prevention, early help & safeguarding underspend (£28k)	
- Quality & Resources underspend (£25k)	
	(4,505)
- Management Actions:	
Non-residential short breaks grant underspend	(189)
Drawdown of budget delivery reserve.	(120)
Public Health support of Community use of Schools programme	(56)
	(365)
Earmarked reserve for international social worker Visas	193
Net Position – CFN	7,503

5.26 **LGSS** is forecasting an overspend of £54k. This is an increase from the forecast overspend of £3k in Period 10 due principally to the increased forecast overspend on IT managed costs related to the unbudgeted costs for the Council's digital platform, offset in part by an increased forecast underspend on the occupational health managed budget.

5.27 The total variations to budget in 2019-20 for LGSS are as follows:

Savings Delivery Pressure (£369k savings target):	£000
There are no pressures to report at this stage.	0
	0
In-year Budget Variation:	
Underspend on Occupational Health managed budget due to lower than budgeted levels of external referrals.	(174)
Overspend in the debt team directly related to the debt recovery improvement plan agreed with NCC	50
Overspend on the IT Managed budget in relation to the replacement of email security software and unbudgeted digital platform costs	134
Overspend as a result of the allocation of an additional savings share to LGSS to be delivered from decisions taken by the Expenditure Control Panel	44
Net Position – LGSS	54

5.28 **Northamptonshire Adult Social Services (NASS)** is forecasting an underspend of £2,875k. This is a net increase in underspend of £161k from the position reported in Period 10.

5.29 **Change from Period 10** – while the overall position has improved we are seeing some increase in care pressure, particularly within Learning disability and mental health clients, but this is being offset by lower costs in the Older People cohort, refunds from unspent Direct Payments and additional winter funding reducing

the net care pressure for period 11 to £28k. This and additional low level pressures from staffing and other overspends across the service have been mitigated by the release of an additional £400k as part of the closedown of the Olympus Care Services standalone company, providing the overall net budget improvement of £161k.

5.30 There is an overall net increase in care pressure expenditure of £28k (with the overall 2019-20 forecast care cost pressure of £7,674k). The increase in this period is made up of a number of changes including:

- An increase in the forecast pressure in Learning Disability of £21k following the monthly review of the costs and volume of client packages.
- An reduction in the forecast pressure in Transitions of £78k following the monthly review of packages where transitions clients have moved between providers at an increased cost;
- An increase in forecast pressure of £36k in Mental Health following the monthly review of the costs and volume of client packages, reflecting a general pressure on demand for Mental Health care across the health and care system;
- A forecast reduction in pressure of £145k across Older People's care. While the pressure in terms of numbers of people going into hospital and cost of care following hospital discharge is increasing the overall impact has been contained by a higher number of care package closures and more innovative ways of service delivery. This has reduced the overall care pressure and costs of onward care;
- A decrease in the forecast underspend of £108k in our physical Disability care forecast. This is a revision in the volume of people in Nursing Placements reducing the overall forecast.
- Winter funding of £260k has been agreed from the Clinical Commissioning Group covering the 19/20 costs for up to 60 additional placements incurred clearing the backlog of patients within acutes.

5.31 A further shareholder distribution one-off release of £400k has been received from the Olympus balance sheet to NCC as the sole shareholder as part of the final closure activities and now the outstanding liabilities and debts are resolved.

5.32 An increase in forecast staffing across NASS of £175k since Period 10 (0.3% of overall staffing budget). This reflects more demand in our in-house provider services, and market supplements paid to ensure continuity of out of hospital teams.

5.33 A forecast reduction in sales income of £23k from within the Olympus provider budget is due to prioritising NASS clients over any private clients. This reduces

expenditure on independent care placements but reduces private income to NASS.

5.34 A reduction in Client Contributions of £45k due to a one off refund of a care contribution made in error to Northamptonshire County Council.

5.35 Small variances to forecasts across the directorate total a further £26k overspend.

5.36 The total variations to budget in 2019-20 for NASS are as follows:

Savings Delivery Pressure (£23,284k savings target): **£000**

Savings associated with the Mental Health S117 Contributions budget delivery will not be delivered due to revised cohort assessments (18-001-30) 240

Strategic Commissioning Hub (18-001-07) 200

Non-delivery of Saxon Court savings (18-001-28) as development is delayed 166

Pressures on the delivery of Shaw FNC proposal (18-001-20) due to timing delays in implementation of contractual payment streams 117

Shaw Contract Compliance (18-001-18) due to delays in agreeing a revised contract variation with Shaw. 92

Intermediate Care under delivery (18-001-13) 37

Eleanor Lodge due to timing delays (18-001-22) 33

885

Budget Delivery: Over-delivery

Adult Social Care Organisation (18-001-31) (200)

Shaw Day Care proposal (18-001-21) (176)

Canary System (18-001-29) (114)

NCC Care Home Ownership (64)

(554)

Net Budget Delivery Pressures **331**

In Year Budget Variations – Overspends

Older People Independent Care – Cost of packages driven by higher acuity and increased Hospital discharges/pressures 3,961

Learning Disability Independent Care - Lack of market capacity 2,707

Transitions Independent Care - Pressure continues to be driven by Looked after children (LAC) cost 1,472

Mental Health pooled budget	803
Non-delivery of historical staff training budget saving that was set in 2017-18. This was a duplicate saving proposal proposed and delivered by LGSS	350
Mental Health Independent care packages	190
Consultancy costs relating to savings delivery	147
Forecast staff pressure due to additional agency staff from an inability to recruit permanent staff	413
Mobile phone purchase service wide	84
Emergency Control Panel Corp	44
Others minor variances across the service	69
	10,240
In Year Budget Variations – Underspends	
Release of 19/20 budgeted contract inflation	(4,277)
Physical Disability Independent care	(1,200)
Forecast additional client contributions in line with increased care expenditure	(854)
Release of bad debt provision	(850)
Shareholder Distribution	(1,361)
Increased forecasted income due to the continued funding of the Holistic Intermediate Care Team by the Clinical Commissioning Group	(523)
Forecast increase in Health income for 2019-20	(882)
Additional Winter Funding	(260)
Better Care funding no longer required to fund Public Health posts	(250)
Intermediate Care contract saving as the service is now provided in house.	(204)
Forecast underspend across small Care block contracts as a number have been ended in 2018-19.	(184)
Release of accrual for prior year expenditure on legal services.	(60)
Client transport underspend on block contract	(180)
Forecast underspend on prevention budgets due to a number of contracts ending and not being re-commissioned at this point.	(321)
Forecast underspend on Discharge to assess beds	(258)
Underspend on delayed hospital discharge fee budget	(155)
Reduction in premises and catering expenditure within in house Provider budgets	(90)
	(11,909)
Management Actions	
Drawdown of budget delivery reserve	(1,537)
	(1,537)
Net Position – NASS	(2,873)

- 5.37 **Place** is forecasting an underspend of £4,130k which is a favourable movement of £743k from the position reported in Period 10.
- 5.38 **Change from Period 10** – There is a net increase in the forecast budget delivery pressures of £11k due to a minor adjustment to the forecast for Home to School Transport, (£3k) and a reduction in forecast income for the commercialisation of assets programme, (£8k).
- 5.39 There has been a favourable movement within Environment, Planning and Transport (EPT) of £670k. This is due to further savings of £234k within Waste, largely due to a reduction in tonnages and, in addition, the relatively mild winter has meant there is now a forecast of reduced expenditure on winter maintenance, (£300k). There are also additional underspends of £69k due to ongoing vacancies and £65k due to energy refunds within the street lighting contract.
- 5.40 There has been a favourable movement of £73k on Asset and Capital Management. This is largely due to the review of current work programmes and updating the forecast of works that will be completed by the end of this financial year, resulting in a further underspend of £78k. There are other general underspends of £47k, which are partially offset by additional employee related expenditure of £45k.
- 5.41 The total variations to budget in 2019-20 for Place are as follows:

Savings Delivery Pressures (£4,190k savings target):	£000
Rental Income - One Angel Square (17-006-15) – additional income from sub-letting not achieved as alternative approach adopted to optimise NCC use of the building.	500
Commercialisation of Assets initiative (17-004-02) – only £2k saving to be achieved in the current financial year due to delays in contract procurement and the need to extend the initiative beyond Place and across the whole of NCC.	268
On Street Parking initiatives (17-006-21) – implementation and savings delivery impacted upon by an extended consultation process.	445
Delayed implementation of the street lighting lantern replacement programme (18-006-09) due to extended timescale for securing a signed Deed of Variation.	120
Place-based Public Health Offer (18-006-06) and (18-007-06d) – work ongoing to identify activities to support improvement of place-based Public Health outcomes.	250
Rent waiver at Kettering Household Waste Recycling Centre (18-006-04) – no agreement reached.	44
Delayed implementation of Library Service budget proposal (18-007-05) means that an element of the property related savings will not be realised in 2019-20 as expected.	66
	1,693

Savings Delivery – Over-delivery:

Over-achievement on the delivery of savings from Home to School Transport initiatives (18-006-03)	(246)
	<u>(246)</u>
Net Savings Delivery Pressure	1,447
In-year variations - overspend:	
Environmental Management - pressure within Energy and Carbon Management due to a reduction in rebate and other income.	235
Highways Maintenance - forecast pressure based on essential highway maintenance costs and a shortfall on overhead recovery.	374
Parking Income shortfall.	47
Reduction to original capitalised salary forecast.	87
New Roads and Street Works Act pressure on income and expenditure.	238
Minor overspend in Customer and Communities	6
	<u>987</u>
In-year variations - underspends:	
Environmental Management - underspend due to a vacant post and an underspend on the budget available for commissioning environmental works from third party bodies.	(56)
EPT Management - underspend due to the full year effect of the Place restructure (£175k), a reduction in professional fees (£115k) and legal costs (£70k) and vacancies (£35k), and other staff costs (£69k). There is also a £14k forecast underspend on the Countywide Travellers Unit.	(478)
Underspend within the Waste Management budget due to a one-off receipt for a contractual performance deduction (£202k), reduction in some waste tonnages and a change in the balance of treated waste versus landfilled waste, (£1,118k), noting that treatment is less expensive than landfill. There is an additional underspend from the release of the contracts renewal budget (£200k). Further underspends relate to reduced monitoring and management costs at closed landfill sites (£74k), additional income from disposal of third party waste from Kettering and Corby (£312k), staff cost reductions (£24k) and other net favourable variances of (£74k), which are able to partially offset a pressure on recycle income of £302k.	(1,702)
Transport and Contracts Management - there are underspends of £49k on Social Care & Health Transport and £13k on Business Improvement.	(62)
Transport and Development Management - forecast underspend of £115k on Concessionary Fares due to a reduction in volumes. There are other underspends and increased income on Bus & Rail (£58k), Travel Choices (£60k), Road Safety (£69k), Bikeability scheme (£27k), Uno refund (12k) and staffing (£32k).	(373)
Underspend on the Parking Enforcement contract.	(194)

Development and Infrastructure - additional income of £329k due to a service level agreement with the Office of the Police, Fire and Crime Commissioner to manage s106 agreements on their behalf, the receipt of Construction Skills Centre grant and additional s106 management fees. Underspend due to staff vacancies (£136k) and a general reduction in expenditure (£96k).	(562)
Assets and Capital Managed – underspend of £813k due to additional rent income, reduction in business rates, and service charge refunds. Additionally, there is an underspend of £434k on the Asset Utilisation budget due to a negotiated reduction in dilapidations for Riverside House and a general reduction in costs. There is also reduced stationery spend of £47k.	(1,294)
Property Staffing - underspend due to vacancies.	(323)
Schools PFI - underspend is predominantly due to poor performance by the Facilities Management (FM) provider resulting in payment deductions (£562k). These deductions have reduced steadily since mid-2019 due to intervention by the council and investment by the FM provider. The overall position has also been influenced by a temporarily reduced contract monitoring capacity from late-2019 whilst vacancies were recruited to and mobilised, (£126k). There has been an insurance costs rebate of £217k over budget. Additionally, blind replacement works will now be capitalised creating a revenue saving of £183k.	(1,103)
Utilities underspend due to a decrease in inflation rates from October and a refund due on water (£205k), and energy refunds for Street Lighting (£41)	(246)
Other property related savings : Soft FM (£50k), Non-functioning Estate (£20k), Catering (£10k) and Travel Plan (£24k).	(104)
Winter Maintenance – underspend due to a mild winter.	(300)
Other underspends include: additional Highways Regulation income (£33k), Highways contract reprocurement (£65k), telephone and Netcom costs (£34k), major projects (£13k), Planning services (£10k) and Trading Standards (£55k).	(210)
	<hr/>
	(7,007)
Transfer to s38 Reserve	443
Net Position – Place	<hr/> (4,130) <hr/>

- 5.42 **Technical Finance, Treasury and Insurance** is forecasting an overspend of £2,080k. This is an adverse movement of £1,514k from the position reported in Period 10.
- 5.43 The key movements in Period 11 are due to £1,466k transfer to reserves and a £48k net movement within the directorate as set out below.
- 5.44 £1,266k has been set aside to top-up the budget delivery reserve. This reserve will be used to manage potential fluctuations on demand-led services. Additionally, an allowance has been made to create an earmarked reserve of £200k for potential litigation costs. The transfers to reserve are both included in the Period 11 position.
- 5.45 There is a £47k adverse movement on Insurance budget predominantly due to a reduction in income from schools following academy conversion.
- 5.46 The forecast on savings proposals (Staff travel; One Angel Square sale and leaseback; and procurement proposal) have been revised in Period 11 to reflect actuals to date. This has resulted in a £17k pressure. Additionally, there are ongoing discussions relating to a contract saving on telecoms, which forms part of the procurement review, that could result in a further movement. This will be updated once the figures are confirmed.
- 5.47 The forecast in legal costs has reduced by £16k as the cost have been moved to Chief Executive Services.
- 5.48 The total variations to budget in 2019-20 for Technical Finance, Treasury and Insurance are as follows:

Savings Delivery Pressure (£3,620k savings target):	000
Under-delivery of savings proposals:	
- 17-008-07 car mileage proposal	240
- 18-003-05 / 17-004-07 procurement review	769
- 18-003-04 Voluntary Redundancy (VR)	804
- 18-008-06 One Angel Square - difference between Net Book Value and Sale price, amortised over life of the lease	(36)
Fees & Charges CPI inflationary uplift not achieved	687
New Assistant Chief of Staff post created to support the Commissioners in their work	43
Additional cost on corporate finance expertise	74
Independent review of financial management	33
	2614
In year variation:	
Release of other minor variances	(107)
Single Person Discount review – reduction in costs	(100)
Reduction in borrowing costs	(350)
Underspend on insurance premiums in comparison to budget	(71)

Grant income higher than budgeted (in respect of Local Reform Community Voices, Social Care in Prisons, and War Pensions Disregard grant)	(130)
	<u>(758)</u>
Management mitigation:	
Reserve replenishment no longer required - reserves replenished through use of the capital dispensation in 2018-19	(292)
Release of corporate growth proposal	(500)
Release of bad debt provision relating to 18-19 legal costs	(273)
Release of unallocated income	(177)
	<u>(1,242)</u>
Earmarked reserve for litigations	200
Transfer to Budget Delivery reserve	1,266
	<u>2,080</u>
Net Position – Technical Finance, Treasury and Insurance	<u>2,080</u>

5.49 **Public Health & Wellbeing (PHW)** is reporting a forecast which is in line with the NCC base budget, the same position as reported in Period 10. The £64k budget variance is due to a drawdown from reserves in the Adult Learning budget.

5.50 Public Health are forecasting an underspend against the grant of approximately £2.4m however as many Public Health services are demand-led and often not invoiced until year end, this is likely to reduce. This is made up of underspends on staffing within the provider budgets of £330k; a reduction of the forecast within smoking cessation for Nicotine Replacement Therapy (NRT) products of £180k; underspends against the increased budgets within weight management and community development offer of £850k due to later go-live dates for new services; and underspends in the management and administration budget on staffing and unallocated grant of £350k. A further reduction in the NHS Health Check programme forecast underspend of £214k due to uptake being less than expected; alongside the Social Wellbeing contract variation resulting in a £235k reduction following the Public Health England (PHE) audit of grant use; and lastly a £230k underspend within the Strong Start curriculum due to staffing vacancies.

5.51 The underspend will be used to support the creation of Nicotine Replacement Therapy stock while we bring the Smoking Cessation Service provision back in-house and develop a local offer of e-cigarettes/vaping to quitters.

5.52 Any remaining underspend will form part of the Public Health reserve and will not affect the current NCC position due to the grant being ring-fenced.

5.53 The majority of PHW is funded via the Public Health Grant (except £27k of NCC Base Budget which funds the Health watch contract of £196k, and a £223k

overhead contribution from the Adult Learning Service which is funded by an ESFA Grant). It is therefore expected that there will be no impact to NCC base budget position.

5.54 The net budget of PHW has increased in Period 11 due to a drawdown from the Adult Learning reserve of £64k in relation to supplying Hublet tablets to libraries as a learning resource.

5.55 Public Health has built up a reserve of Public Health Grant over the past three years of £5.5m. Currently Public Health services have earmarked £1.2m of this reserve to be spent in 2019-20. This comprises £750k of Health and Wellbeing Grants to District and Borough Health and Wellbeing Fora, £200k contribution to a wellbeing programme being delivered in NCC Country Parks, £100k to undertake social marketing training and develop an associated campaign, and a few other smaller projects which make up the remaining value. Support for the allocation of reserve funding of £2.5m to deliver a local Population Health Management Programme is in development and will be presented to Cabinet in the near future.

5.56 Funding from the Public Health reserve will also be required to fund the Social Prescribing programme agreed by Cabinet in December 2019.

5.57 The total variations to budget in 2019-20 for PHW are as follows:

Savings Delivery Pressure (£23k savings target):	£000
Non-deliverable Public Health Proposal with saving not being achieved as published due to change in personnel	12
	<hr/> 12
Management Actions:	
Additional underspend due to the full year effect of a 2018-19 contract reduction	(12)
	<hr/> (12)
Net Position – Public Health & Wellbeing	<hr/> 0 <hr/>

6 Funding

- 6.1 The net cost of Council services are funded from three areas: council tax, business rates, and government grants, as set out in the Table Two below.

Table Two: Funding 2019-20

Funding	Net Budget	Forecast Income at 31/03/20	Forecast Variance at 31/03/20
	£000	£000	£000
Council Tax income	(310,995)	(310,995)	0
Business Rates income	(102,820)	(103,836)	(1,016)
New Homes Bonus	(3,905)	(3,905)	0
Total NCC Funding	(417,720)	(418,736)	(1,016)

- 6.2 Forecast Council Tax income is based upon the budgeted Council Tax precept amount, together with Tax Base and collection rate information provided by district and borough councils who collect council tax. The Council Tax income is a fixed amount for the year, as is New Homes Bonus.
- 6.3 The Council is a member of Northamptonshire's Business Rates Pool. Following the latest intelligence and December Business Rates pooling return from Kettering Borough Council, the Council will receive an additional £1m above the amount originally budgeted. This is partly due to the amount of Section 31 grant due to be received being higher than originally forecast, and the Business Rates Collection Fund being in surplus at the end of 2018-19, rather than the deficit that had been forecast when the budget was set.
- 6.4 The Business Rates income received by the Council is subject to a full year reconciliation as part of the 75% Business Rates pilot and therefore may be subject to change as further intelligence is gained through the quarterly pool forecasts.
- 6.5 On 20 March 2020 the Chancellor announced £1.6bn funding to Local Government for emergency support to deal with the Corona Virus (Covid-19). Northamptonshire County Council will receive £17.2m of this funding on 3 April 2020. At this point in time, it is not expected that Covid-19 will introduce significant cost pressure in 2019/20. A separate report dealing with issues and funding will be produced in the new financial year when further details and guidance has been received.

7 Transformation

- 7.1 A report introducing the Council's Transformation Strategy was presented to Cabinet on 13th November 2018. This report set out the approach to the Council's Transformation Programme, which documents the objectives of the programme together with the projects and programmes being initiated. The Transformation

Strategy was subsequently approved by the full Council meeting on 22nd November 2018.

- 7.2 A number of projects that are forecast to deliver savings in 2019-20 already approved for support through the Transformation Programme were reported and approved by Full Council. The costs associated with the delivery of each of these projects will be met through the flexible use of capital receipts, subject to having sufficient capital receipts available.
- 7.3 The delivery of these projects and their forecast outcomes are being monitored closely in order to ensure that benefits are realised and that they continue to qualify for funding through this route. Finance officers continue to work closely with colleagues across the Council to review and analyse service activity that is being undertaken so as to deliver improved outcomes for the Council and reduce its cost base. Part of this process includes an evaluation of any additional resources required to deliver further transformation, which is subject to internal governance, review and approval.
- 7.4 The Flexible Use of Capital Receipts Strategy was agreed at Council on 20th June 2019. This included the latest listing of transformation activity that meets the criteria for funding through the application of capital receipts. The Period 11 report sets out the latest forecast spend and savings against the approved budget lines.
- 7.5 Table Three sets out the budget and latest forecast of the Council's Transformation projects for 2019-20 which will be funded by flexible use of capital receipts. This includes some projects that commenced in 2018-19 and continue into 2019-20. The savings generated through this funding will be realised in 2019-20 and in future years. Some projects are enablers and savings will be delivered in other areas due to them being in place.
- 7.6 An updated Flexible Use of Capital Receipts Strategy was approved by full Council on 20th February 2020. The updated Strategy includes a revised list of transformation projects to be funded through the flexible use of capital receipts in 2019-20 and the Council's planned transformation projects to be funded through the flexible use of capital receipts in 2020-21.

Table Three: Transformation Projects 2019-20

Table 1: Transformation Projects 2019-20	Original Estimate 2019/20 £000	Revised Estimate Spend 2019/20 £000	Variance on Spend 2019/20 £000	Comment on Variance	Planned Saving 2019/20 £000	Revised Planned Saving 2019/20 £000	Variance on Planned Savings 2019/20 £000	Comment on Variance
Agency Staff Conversion: Reduce the number of agency staff in social work teams through enabling their transfer into the Council's staffing establishment	293	64	229	There is expected to be 8 successful conversions in 2019-20. Further uptake this financial year is currently expected to be limited.	-1,624	-77	1,547	Current forecasts are based on the part year effect of the 8 successful conversions that are expected in 2019-20. The full year base budget savings going forwards from this one off investment total £200k. Assumptions have been made that there will be limited further conversions until a revised campaign is developed, at which point assumptions will be reviewed.
Renegotiation of Contract: Appointment of legal advisors who will deliver the renegotiation of the Shaw PFI contract - savings to accrue from 2020-21 onwards	168	168	0		0	0	0	
Local Government Reorganisation: Costs associated with the proposed local government reorganisation in Northamptonshire	950	125	825	This represents the County Council's contribution to the costs of the LGR Programme. The remaining contribution will be paid over to the Future Northants Programme during 2020-21.	0	0	0	Savings will be made as part of the Local Government Reorganisation.
Estimated voluntary redundancy costs associated with reducing the size of the workforce	1,000	800	200	Revised estimate of final position. Will be revised when final confirmation is received.	-1,460	-662	798	Revised estimate of final position. Full year effect included in MTFP as savings are dependent on final leave dates.
Annual Cost of the Future Northants Programme, as per Strategy	2,598	2,209	389	Revised estimate based on latest forecast of staffing costs.	0	0	0	Savings will be made as part of the Local Government Reorganisation.
A review of the pricing and delivery of Learning Disability services, changing the way we buy care services for adults with learning disabilities from private providers, to meet the increasing demand in the most cost-effective way. This will ensure we have more certainty of our cost and can consider better ways of providing improved outcomes.	723	723	0		-2,800	-2,800	0	

Committed Cost of the Unitary Programme - the costs associated with the employment of project colleagues, engaged in the set-up of the Future Northants Programme	298	298	0		0	0	0	Savings will be made as part of the Local Government Reorganisation.
Libraries Transformation Programme - delivering provision of a fully comprehensive and efficient service	272	174	98	Reassessment of staff time input to deliver project. Also, capitalisation of IT and decommissioning costs.	-381	-315	66	Due to delayed implementation, an element of the property related savings will not be realised in 2019-20 as expected.
Transformation Roles in Children's service - supplements the transformation resources already in place through the council wide Transformation Programme to provide a coordinated approach and focus touch points within the CFN leadership team that have complete oversight and accountability for driving transformation and savings delivery across the Directorate.	180	60	120	Slippage in anticipated recruitment to posts.	0	0	0	Savings will be made as part of the overall Council wide transformation programme within CFN.
Single handed project - Reducing the level of resource associated with home care by using specialist equipment and training to reduce existing care or reduce care packages.	260	260	0		-1,000	-1,000	0	
Canary Pilot - Installing monitoring systems to enable long term care package savings.	53	53	0		-400	-436	-36	Current forecast suggests over delivery of target in 2019-20 due to success earlier in the year
TOM Design and diagnostics – The initial diagnostic from Newton Europe identified the savings areas and ranges at the start of October. Additional work was required ahead of 2020-21 budget setting to complete the full validation and baselining for all the proposed savings ahead of robustness sessions and tender process. The work undertaken will provide certainty of how and where the saving could be delivered and inform the configuration of the new eclipse system during so that the required metrics and outputs could be developed. An additional diagnostic was also required across the Adults and Children's combined pathway for disability as this was an area of high and rising cost pressure.	0	435	435	The work will ensure that the service has: - A clear delivery and programme plan for the savings and improvement, - Clarity of the service resource and skills needed, - An agreed scope and set of work streams priorities, - That there are no savings duplications - A view on the future and potential for an all age disability service and - a robust specification for the tender and supporting data and outputs for bidders	0	0	0	Savings will be made in 2020-21 as part of the Strengths Based Working proposal
Total:	6,795	5,369	2,296		-7,665	-5,290	2,375	

- 7.7 The application of this strategy relies on the availability of sufficient capital receipts to fund the qualifying transformation expenditure. The latest capital receipt forecast indicates the following:

Table Four:

	£000
Unused capital receipts brought forward from 2018-19	3,232
2019-20 capital receipts to date	2,850
2019-20 further capital receipts forecast	1,415
Total Forecast Available Capital Receipts	7,497

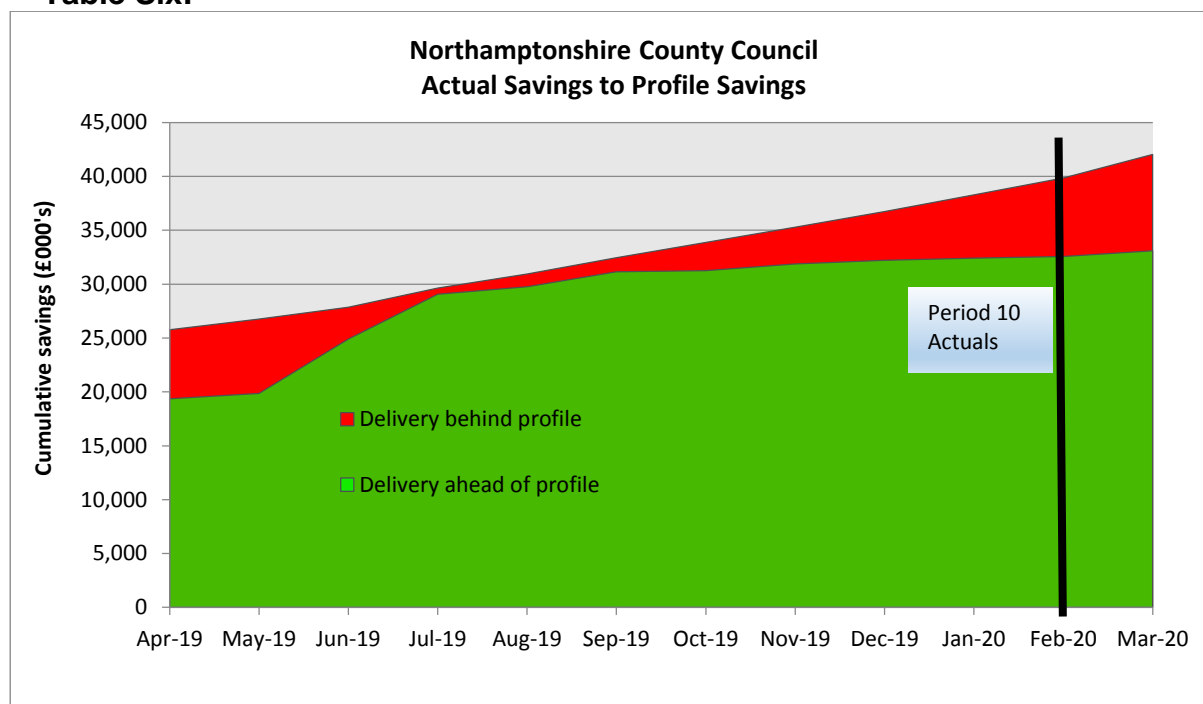
8 2019-20 Savings Delivery Performance

- 8.1 The Council has a total savings requirement of £43.4m in 2019-20. Of this, £41.4m is within 2019-20 budget set in February 2019; £1.1m is other savings measures embedded in the brought forward base from 2018-19; and £0.5m in income proposals, as set out in the table below. The deliverability of these proposals is being monitored by each accountable service lead, budget manager and senior officers.
- 8.2 The Transformation Programme is divided into four work streams – Children’s, Adults, Place and Corporate. Each work stream has its own Programme Board led by the Senior Responsible Officer, which will meet on a monthly basis throughout the year. The role of the Board is to provide robust governance and assurance by monitoring and managing programme progress, savings, impacts, risks, issues, interdependencies and benefits. This is to ensure that transformation and savings are delivered in line with corporate priorities, agreed savings targets and the Medium Term Financial Plan. Each programme Board will report to the central Transformation Board, chaired by the Chief Executive.
- 8.3 The latest assessment on the deliverability of the £43.4m savings proposals is set out in the Table below, by work stream.

Table Five: 2019-20 Savings Proposals

	Target Savings	Savings budgeted to be delivered at Period 12	Variance currently forecast at Period 12 <i>Under/(Over) delivery</i>
	£000	£000	£000
Corporate Services	(1,578)	(1,472)	106
Children First Northamptonshire (CFN)	(10,296)	(4,086)	6,211
Place	(2,645)	(2,363)	282
Northamptonshire Adult Social Services (NASS)	(23,284)	(22,952)	332
Cross-cutting Programme	(3,220)	(1,843)	1,377
LGSS	(369)	(369)	0
Public Health & Wellbeing	(23)	(11)	12
Total, 2019-20 savings:	(41,415)	(33,096)	8,319
Place: Income proposal	(500)	(300)	200
Brought forward savings from 2018-19:			
Place BF	(1,045)	(80)	965
Cross-cutting BF	(400)	0	400
Total: Brought forward savings from 2018-19	(1,445)	(80)	1,365
Total: NCC	(43,360)	(33,476)	9,884

Table Six:



Delivery of Savings - Analysis of Variance between Original Budget Profile and Expected Delivery to Period 12.

8.4 The Council is forecasting to deliver 80% of its 2019-20 savings target by the end of the year.

9 Reserves

9.1 The table below shows an updated position of the Council's General Fund and earmarked reserves.

Table Seven:

	Opening Balance April 2019 £000	Transfers to Reserve 2019-20 £000	Transfers from Reserve 2019-20 £000	Forecast Closing Balance March 2020 £000
General Fund Balance	20,000	0	0	20,000
Earmarked Reserves:				
Budget Delivery Reserve	9,262	3,380	(1,702)	10,940
Public Health Reserve	5,540	0	0	5,540
Insurance Reserve	3,513	0	0	3,513
LGSS Reserves	672	0	(56)	616
Service Carry Forwards of Partnership Funding	23	0	(23)	0
Other Earmarked Reserves	847	836	(264)	1,419
Total Earmarked Reserves	19,857	4,216	(2,045)	22,028
				0
Grand Total – General Fund and Earmarked Reserves	39,857	4,216	(2,045)	42,028

Forecast balances on General Fund and Earmarked Reserves 2019-20.

- 9.2 The in-year drawdowns from the Budget Delivery reserve are £120k for CFN and £1,537 for NASS. The effect of these drawdowns is included in the revenue forecasts set out earlier in this report.
- 9.3 The remaining drawdown of £45k from the Budget Delivery reserve is in relation to the Reducing Parental Conflict Programme (RPCP) grant. This grant supports the Council and its partners to understand the evidence base and to adopt an integrated system-wide approach to developing appropriate local service provision. This ring-fenced funding was transferred to reserves at the year-end due to being received late in the previous financial year.
- 9.4 The £2.1m budget contingency will be transferred to Budget Delivery reserve as part of the year end process. Additionally, the Period 11 position now enables the Council to increase the Budget Delivery reserve by £1,266k to manage potential fluctuations in demand-led services; create an earmarked reserve of £193k for international social worker visa costs; and a further £200k reserve for potential legal costs, as set out in Paragraphs 5.13 and 5.44 respectively.
- 9.5 There is a £56k in-year drawdown from the LGSS reserve for LGSS reinvestments as agreed by the LGSS Joint Committee. It is anticipated that

there will be further transfers to/from LGSS reserve, and this will be finalised as part of year end process once agreed at LGSS Joint Committee.

- 9.6 The £23k partnership funding comprises £9k for the Community Equipment Pool and £14k for the Northamptonshire Safeguarding Adults Board (NSAB). These funds are ring-fenced. This partnership funding was unspent in 2018-19 and is drawn down for use in 2019-20.
- 9.7 The drawdown of £200k is in respect of a reserve which was set up to hold monies transferred to NCC from the West Northamptonshire Development Corporation when it was disbanded. This funding was to be made available at some future date to the Churches Conservation Trust (CCT) as matched funding for their Heritage Lottery bid, or failing this to be specifically used for projects agreed by the Heritage Gateway Board. The CCT project now has an approved 'Permission to Start' from the National Lottery Heritage Fund and so the matched funding has been released. There is a net nil impact on the Place forecast outturn as the increase in budget is exactly matched by a payment to the CCT.
- 9.8 Following discussions with auditors an allowance has been made to transfer £443k from revenue as a contribution to a Section 38 reserve. It is considered prudent to hold a balance at the end of each financial year to cover the possibility that developers contributions in any one year may not fully cover the audit and inspection costs relating to s38 agreements signed in preceding years. Hence, this initial transfer of £443k. Work continues to ascertain the full amount estimated to be required to fund future liabilities, and an update will be provided in future reports. This transfer to reserve is included in Other Earmarked reserves, see Table Seven above.
- 9.9 Adult Learning have an earmarked reserve made up of the income that has built up over time. £64k will be drawdown in 2019-20 to invest in facilities in libraries that would support the learning agenda.

10 Consultation and Scrutiny

- 10.1 All 2019-20 Budget proposals were consulted on prior to the budget being approved by Full Council in February 2019.
- 10.2 Any management interventions that require a policy change will be subject to a consultation before any decision is taken.
- 10.3 Where consultation is necessary, full details will be presented to Cabinet separately. Cabinet can only make a decision after taking careful account of the results of such consultation in order to reach an informed decision.

11 Equality Screening

11.1 Where material mitigating actions may have an impact upon specified groups of service users, an equality impact assessment will be carried out so as to ensure that the implications of change are fully understood.

Reason that no EqlA is required	✓ as appropriate
The paper is for information only	✓
The proposal/activity/decision has no impact on customers or the service they receive	
The proposal impacts upon staff but the proposed staffing changes will not affect the service that customers receive*	
Other (Please explain further)	

Appendices

Appendix A – 2019-20 Variation to budget

Appendix B – 2019-20 NCC Budget Savings Tracker

Author:	Name: Iain Jenkins Team: Finance
Contact details:	Tel: 01604 364664 Email: IJenkins@northamptonshire.gov.uk
Background Papers:	
Does the report propose a key decision is taken?	YES
If yes, is the decision in the Forward Plan?	YES
Will further decisions be required? If so please outline the timetable here	Yes, future Cabinet Meetings.
Does the report include delegated decisions? If so, please outline the timetable here	N/A
Is this report proposing an amendment to the budget and/or policy framework?	NO
Have the financial implications been cleared by the Strategic Finance Manager (SFM)? Have any capital spend implications been cleared by the Capital Investment Board (CIB)	YES Name of SFM: All N/A
Has the report been cleared by the relevant Director?	YES Name of Director: All
Has the relevant Cabinet Member been consulted?	YES Cabinet Member: Cllr Longley
Has the report been cleared by Legal Services?	YES Name of solicitor: Debbie Carter-Hughes
Have any communications issues been cleared by Communications and Marketing?	YES Name of officer: Liam Beasley

Have any property Issues been cleared by Property and Asset Management?	NO
Procurement/ Contractual Implications: <ul style="list-style-type: none"> • Have you evidenced compliance with the Council's Contract Procedures Rules? • Have you identified where you are seeking Cabinet to approve an exemption from the Contract Procedure Rules and detailed the risks and mitigations? • Have you identified any EU or UK legislative risks associated with the exemption process such as non-compliance with the Public Contract Regulations Act 2015, transparency and open competition? • Have you identified the procurement or contractual risks associated with a contract? • Has the contract/procurement been subjected to the Council's Commercial Board? 	NO
Are there any community safety implications?	NO
Are there any environmental implications:	NO
Are there any Health and Safety Implications:	NO
Are there any Human Resources Implications:	NO
Are there any human rights implications:	NO
Constituency Interest:	All

	Period 10 £000	Period 11 £000	Movement from prior period £000
Corporate Services			
Savings Delivery Pressure (£1,578k savings target):			
Emergency Planning - discontinuation of contribution from Public Health towards Emergency Planning team.	73	73	0
Non-deliverable Public Health proposal with saving not being achieved as published	525	525	0
	598	598	0
General Public Health contribution to NCC support costs	(291)	(291)	0
One off additional Public Health contribution to NCC support costs	(176)	(176)	0
Northamptonshire Sport grant hosting fee	(25)	(25)	0
	(492)	(492)	0
			0
Net Budget Delivery Pressures	106	106	0
In-Year Budget Variations – Overspends:			
Corporate & Community Services:			
Grendon Hall - residual costs following closure.	28	28	0
Other:			
Pressure due to the need for additional staffing support within the Directorate	200	237	37
Chief Executive - costs associated with the review of LGSS and other consultancy costs.	52	42	(10)
Statement of Accounts - forecast overspend on external audit fees relating to 2017-18.	80	67	(13)
Other minor overspends	0	19	19
	360	393	33
In Year Budget Variations – Underspends:			
Corporate & Community Services:			
Customer Services - underspend due to a reduction in staff costs through vacancy management, a reduction in the use of agency staff and income to be received from other services for customer services posts.	(364)	(357)	7
Business Intelligence - underspend due to a review of budgets in order to disaggregate into service areas across BIPI, CSC, Web and FOI teams. This has resulted in a confirmation of staffing requirements and movements within Corporate Services and a much more accurate vacancy forecast.	(146)	(182)	(36)
Communications & Marketing - underspend due to staff vacancies.	(136)	(136)	0
Archives and Heritage - underspend due to staff vacancies, partly offset by Chester Farm security costs previously borne by Property Services.	(84)	(84)	0
Registration Service - additional income from an increase in wedding bookings and 10% increase activity requiring the issue of certificates.	(15)	(172)	(157)
Coroners Service – underspend due to the delayed transfer of staff from Police.	(154)	(254)	(100)
Emergency Planning and Community Safety - underspend due to vacancies.	(25)	(68)	(43)
CCP Management - mitigation of contribution from Public Health towards the Emergency Planning team.	(73)	(73)	0
Customers, Culture & Place Management – underspend as a result of expenditure being identified as chargeable against Brexit funding received from central government.	25	(17)	(42)
Library Service – underspend due to staffing, book fund and increased income due to the delayed transfer of libraries to the community groups.	(382)	(498)	(116)
Country Parks - additional parking income and capitalisation of parking machine infrastructure	(23)	(87)	(64)
Other minor underspends	(27)	(38)	(11)
Other:			
Underspend on staffing with the Executive Support area due to part year vacancies.	(39)	(49)	(10)
Democratic Services - underspend in members' allowances following decisions taken at the annual meeting in May, a cost controlled approach to civic events, vacancies and increased income.	(124)	(142)	(18)
Elections - forecast underspend due to reduction in likelihood of a by-election where costs would fall into 2019-20	(30)	(30)	0
Other minor underspends	(24)	(79)	(55)
	(1,621)	(2,266)	(645)
Net Position – Corporate Services	(1,155)	(1,767)	(612)

	Period 10 £000	Period 11 £000	Movement from prior period £000
CFN			
Savings Delivery Pressure (£10,296k savings target):			
Non-delivery of staffing related savings proposals:	2,906	2,906	0
- Agency Staff conversion 18-002-03 (£1,547k)			
- Reorganisation 18-002-04 (£818k)			
- Continuation of international social worker recruitment 18-002-09 (£541k)			
A reduction in the savings anticipated from the review of UASC accommodation - 18-002-13	130	130	0
A reduction in the savings anticipated from the placement sufficiency savings proposal - 18-002-18	300	300	0
A reduction in the savings anticipated from the placement sufficiency savings proposal - 18-002-14	1,200	1,200	0
A reduction in the savings anticipated from the legal spend proposal 18-002-05	750	750	0
A reduction in the savings anticipated from the review of mother and baby assessments proposal 18-002-10.	400	400	0
A reduction in the savings anticipated from the reduction in Section 17 support 18-002-08.	200	200	0
A reduction in the savings anticipated from the review of appeals rights exhausted / no recourse to public funds accommodation proposal 18-002-07.	325	325	0
	6,211	6,211	0
In-Year Budget Variations – Overspends:			
In-year demand pressures on Agency Placements due to rising average costs and the number of entrants into care being higher than anticipated.	2,500	2,400	(100)
Additional in year demand pressures for social care transport provision.	1,100	1,100	0
Business support restructure: additional staffing costs.	250	250	0
Additional in-year demand pressures for services to disabled children.			0
Additional management capacity to support improvement	208	208	0
Fostering care provision demand pressure.	800	800	0
Educational Psychology income shortfall.	296	350	54
ARE demand pressure and NRPf growth.	175	175	0
Additional legal demand pressure	100	100	0
Inter-agency adoption fees.	268	100	(168)
UASC allowance and support budget pressure.	0		0
Mother and baby assessments additional demand pressure	265	265	0
Care provision demand pressure	150	130	(20)
Bad debt provision top up	0	91	91
Other minor variances.	0	0	0
	6,112	5,969	(143)
In Year Budget Variations – Underspends:			
Net staffing budgets underspend due to the difficulty in recruiting to Qualified Social Worker posts / vacancy management.	(1,701)	(1,642)	59
Additional UASC grant income.	(723)	(723)	0
Release of placements over-accrued expenditure	(582)	(652)	(70)
Release of over accrued Troubled Families expenditure	(100)	(100)	0
UASC placement underspends.	(690)	(760)	(70)
Additional unauthorised absence penalty income	(160)	(192)	(32)
Non-residential short breaks grants underspend	0		0
Underspend on Strategic Planning	(115)	(75)	40
Underspend on Adoption related payments	(100)	(50)	50
Other minor variances.	(222)	(311)	(89)
	(4,393)	(4,505)	(112)
Management Actions:			
Community use of Schools Public Health funding	(56)	(56)	0
Non-residential short breaks grants underspend	(170)	(189)	(19)
Drawdown of budget delivery reserve.	(120)	(120)	0
	(346)	(365)	(19)
To create earmarked reserve for international social worker Visas		193	193
Net Position – CFN	7,584	7,503	(81)
LGSS			
Savings Delivery Pressure (£369k savings target):			
Within the LGSS shared budgets forecast pressures are more than offset by forecast underspends resulting in a forecast transfer to LGSS reserves at year end. The savings ask for NCC is anticipated to be met in full.	0	0	0
	0	0	0
In Year Budget Variation:			
Underspend on Occupational Health managed budget due to internal triaging of cases resulting in lower levels of external referrals.	(152)	(174)	(22)
Agreed investment in the debt team directly related to the debt recovery improvement plan agreed with NCC.	50	50	0
Overspend on the IT Managed budget in relation to the replacement of email security software	54	134	80
Additional savings ask in relation to ECP decisions	44	44	0
Shortfall against LGSS trading target - NCC share	7	0	(7)
	3	54	51
Net Position – LGSS	3	54	51

	Period 10 £000	Period 11 £000	Movement from prior period £000
NASS			
Savings Delivery Pressure (£23,284k savings target):			
Savings associated with the Mental Health S117 Contributions budget delivery will not be delivered due to revised cohort assessments (18-001-30)	240	240	0
Strategic Commissioning Hub (18-001-07)	200	200	0
Shaw Contract Compliance (18-001-18) due to delays in agreeing a revised contract variation with Shaw	92	92	0
Non-delivery of Saxon Court savings (18-001-28) as development is delayed	166	166	0
Pressures on the delivery of Shaw FNC proposal (18-001-20) due to timing delays in implementation of contractual payment streams	117	117	0
LD New Models of Care (18-001-12) under delivery	0	0	0
Intermediate Care under delivery (18-001-13)	37	37	0
Eleanor Lodge due to timing delays (18-001-22)	33	33	0
Single Handed Project under delivery (18-001-25)			0
	885	885	0
Budget Delivery: Over-delivery			
Older People's Continuing Healthcare Optimisation (18-001-23) - Forecast savings up and above target, due to a number of large packages of care being awarded CHC funding.	0	0	0
Shaw Day Care proposal (18-001-21) - Following the closure of the under utilised day care centres at Shaw PFI homes, actual savings have been realised. This includes reduced transport costs and reduced new day centre provision compared to the original analysis.	(176)	(176)	0
Canary System (18-001-29) - Additional forecast savings derived from higher return of savings per client to date in year.	(114)	(114)	0
Adult Social Care Organisation (18-001-31) - Additional savings driven by further vacancies across Adult Services compared to budgeted level.	(200)	(200)	0
NCC Care Home Ownership	(64)	(64)	0
	(554)	(554)	0
Net Budget Delivery Pressures	331	331	0
In Year Budget Variations – Overspends			
Older People Independent Care – Cost of packages driven by higher acuity and increased Hospital discharges/pressures	3,816	3,961	145
Learning Disability Independent Care - Lack of market capacity	2,687	2,707	20
Transitions Independent Care - Pressure continues to be driven by Looked after children (LAC) cost	1,550	1,472	(78)
Mental Health pooled budget	484	803	319
Non-delivery of historical staff training budget saving that was set in 2017-18. This was a duplicate saving proposal proposed and delivered by LGSS	350	350	0
Mental Health Independent care packages	473	190	(283)
Consultancy costs relating to savings delivery	147	147	0
Forecast staff pressure due to additional agency staff from an inability to recruit permanent staff	238	413	175
Mobile phone purchase service wide	84	84	0
Emergency Control Panel Corp	44	44	0
Others minor variances across the service	43	69	26
	9,916	10,240	324
In Year Budget Variations – Underspends			
Release of 19/20 budgeted contract inflation	(4,277)	(4,277)	0
Physical Disability Independent care	(1,307)	(1,200)	107
Forecast additional client contributions in line with increased care expenditure	(899)	(854)	45
Release of bad debt provision	(850)	(850)	0
Shareholder Distribution	(961)	(1,361)	(400)
Increased forecasted income due to the continued funding of the Holistic Intermediate Care Team by the Clinical Commissioning Group	(546)	(523)	23
Forecast increase in Health income for 2019-20	(882)	(882)	0
Additional Winter Funding	0	(260)	(260)
Better Care funding no longer required to fund Public Health posts	(250)	(250)	0
Mental Health Independent care packages			0
Intermediate Care contract saving as the service is now provided in house.	(204)	(204)	0
Forecast underspend across small Care block contracts as a number have been ended in 2018-19.	(184)	(184)	0
Release of accrual for prior year expenditure on legal services.	(60)	(60)	0
Forecast staff vacancies across NASS	0		0
Client transport underspend on block contract	(180)	(180)	0
Forecast underspend on prevention budgets due to a number of contracts ending and not being re-commissioned at this point.	(321)	(321)	0
Forecast underspend on Discharge to assess beds	(258)	(258)	0
Underspend on delayed hospital discharge fee budget	(155)	(155)	0
Reduction in premises and catering expenditure within in house Provider budgets	(90)	(90)	0
Other minor variances across the service	0	0	0
	(11,424)	(11,909)	(485)
Management Actions			
Drawdown of budget delivery reserve	(1,537)	(1,537)	0
	(1,537)	(1,537)	0
Net Position – NASS	(2,714)	(2,875)	(161)

	Period 10 £000	Period 11 £000	Movement from prior period £000
Place			
Savings Delivery Pressure (£4,190k savings target):			
Rental Income - One Angel Square (17-006-15)	500	500	0
Commercialisation of Assets initiative (17-004-02)	260	268	8
On Street Parking initiatives (17-006-21)	445	445	0
Delayed implementation of the street lighting lantern replacement programme (18-006-09)	120	120	0
Rent waiver at Kettering Household Waste Recycling Centre (18-006-04)	44	44	0
Delayed implementation of Library Service budget proposal (18-007-05) means that an element of the property related savings will not be realised in 2019-20 as expected.	66	66	0
Place-based Public Health Offer (18-006-06) and (18-007-06d)	250	250	0
	1,685	1,693	8
Budget delivery - underspend:			
Over-achievement on the delivery of savings from Home to School Transport initiatives (18-006-03)	(249)	(246)	3
	(249)	(246)	3
Net budget delivery	1,436	1,447	11
In year variations - overspend:			
Energy and Carbon Management - reduced income from rebates (£108k) and management fees (£130k) and an increase in Display Energy Certificates (£10k), offset by a small improvement in other income (£10k) and a staffing underspend (£3k).	235	235	0
Pressure arising from the cost of network repairs and maintenance, in accordance with Highways Network Management Plan, and a shortfall on overhead recovery.	226	374	148
Parking Income shortfall.	118	47	(71)
Reduction to original capitalised salaries forecast.	87	87	0
New Roads and Street Works Act pressure on income and expenditure.	248	238	(10)
Minor overspend in Customer and Communities	0	6	6
Street Lighting - net forecast position taking into account the £301k payment of energy consumption contract adjustment and a successful appeal by Laser resulting in reduced electricity rate for unmetered supplies from 1 October 2019.	38	0	(38)
	952	987	35
In year variations - underspend:			
Underspend on service level agreements in Environmental Management (£45k) and staff vacancies (£11k).	(56)	(56)	0
Full year impact of the restructure across the Place Directorate.	(175)	(175)	0
Professional fees and consultancy budget underspend.	(115)	(115)	0
Non-staffing underspends on the Countywide Traveller Unit (£14k) and legal costs (£70k).	(84)	(84)	0
Underspend on EPT Management posts, and staffing costs	(35)	(104)	(69)
Underspend within the Waste Management budget due to a one-off receipt for a contractual performance deduction (£202k), reduction in residual waste tonnages and an increased amount of waste that has been treated (£1,118k), release of contracts renewal budget (£200k), staff vacancies (£24k), reduced costs at closed landfill sites (£74k), additional income from disposal of third party waste from Kettering and Corby (£312k) and other net favourable variances (£74k), which are able to offset a pressure on recycle income (£302k).	(1,468)	(1,702)	(234)
Surplus budget for the management of Social Care transport.	(49)	(49)	0
Underspend regarding Parking Enforcement contract	(169)	(194)	(25)
Net Underspends on Business Improvement (£13k), Trading Standards (£55k) and Planning services (£11k).	(51)	(79)	(28)
Concessionary Fares forecast underspend based on activity between April and December.	(163)	(115)	48
Development and Infrastructure - additional income of £329k due to a service level agreement with the Office of the Police, Fire and Crime Commissioner to manage s106 agreements on their behalf, the receipt of Construction Skills Centre grant and additional s106 management fees. Underspend due to staff vacancies (£136k) and a general reduction in expenditure (£96k).	(552)	(561)	(9)
Additional Highways Regulation income (£33k) and an underspend on telephone and Netcom costs (£34k).	(87)	(67)	20
Strategic Assets underspend on rents, leases, service charges, Estates Management costs, and additional income.	(748)	(813)	(65)
Underspend on the asset utilisation budget due to agreed dilapidation costs and other disposal costs being less than originally forecast and some planned slippage to 2020-21 on the disposal programme.	(371)	(434)	(63)
Underspend on the cross-Directorate stationery budget.	(45)	(47)	(2)
Property Services - net underspend due to staff vacancies.	(368)	(323)	45
Schools PFI - net underspend due to continuing under-performance contract deductions, insurance cost rebates, capitalisation of the blinds replacement programme, a reduction in dispute resolution costs and additional facilities management income from schools.	(1,131)	(1,103)	28
Underspend on utilities following confirmation of the new inflation rates effective from 1 October. The forecast also includes a one-off refund for water of £45k due to an historical overpayment.	(205)	(205)	0
Other property related savings : Soft FM, (£50k), Non functioning Estate (£20k), Catering (£10k) and Travel Plan (£24k).	(97)	(104)	(7)
Street Lighting - energy cost refunds.	0	(41)	(41)
Highways Contract procurement.	0	(65)	(65)
Winter Maintenance - underspend due to the mild winter.	0	(300)	(300)
Transport & Development Management - Bus & Rail (£58k), Travel Choices (£60k), Road Safety (£69k), Bikeability scheme (£27k), Uno refund (12k), major projects (£13k), and staffing (£32k).	(249)	(271)	(22)
	(6,218)	(7,007)	(789)
Transfer to s38 Reserve.	443	443	0
Net Position - Place	(3,387)	(4,130)	(743)

	Period 10 £000	Period 11 £000	Movement from prior period £000
Technical Finance, Treasury and Insurance			
Savings Delivery Pressure (£3,620k savings target):			
Under-delivery of savings proposals:			
- 17-008-07 car mileage proposal	210	240	30
- 18-003-05 / 17-004-07 procurement review	746	769	23
- 18-003-04 Voluntary Redundancy (VR)	804	804	0
- 18-008-06 One Angel Square - difference between Net Book Value and Sale price, amortised over life of the lease	0	(36)	(36)
Fees & Charges CPI inflationary uplift not achieved	687	687	0
New Assistant Chief of Staff post created to support the Commissioners in their work	43	43	0
Additional cost on corporate finance expertise	74	74	0
Independent review of financial management	33	33	0
	2,597	2,614	17
In year variation:			
Release of other minor variances	(91)	(107)	(16)
Single Person Discount review – reduction in costs	(100)	(100)	0
Reduction in borrowing costs	(350)	(350)	0
Grant income higher than budgeted (in respect of Local Reform Community voices, Social Care in Prisons, and war Pensions Discharge grant)	(130)	(130)	0
Underspend on insurance premiums in comparison to budget	(118)	(71)	47
	(789)	(758)	31
Management mitigation:			
Reserve replenishment no longer required - reserves replenished through use of the capital dispensation in 2018-19	(292)	(292)	0
Release of corporate growth proposal	(500)	(500)	0
Release of bad debt provision	(273)	(273)	0
Release of unallocated income	(177)	(177)	0
	(1,242)	(1,242)	0
Earmarked reserve for fluctuations in demand led services		200	200
Transfer to Budget Delivery reserve		1,266	1,266
Net Position – Technical Finance, Treasury and Insurance	566	2,080	1,514
Public Health & Wellbeing			
Savings Delivery Pressure (£23k savings target):			
Non-deliverable Public Health Proposal with saving not being achieved as published	12	12	0
Library Service - delayed implementation of budget proposal 18-007-05 means that an element of the property related savings will not be realised in 2019-20 as expected.			
	12	12	0
Management Actions:			
General Public Health contribution to NCC support costs	0	0	0
One off additional Public Health contribution to NCC support costs	0	0	0
Northamptonshire Sport grant hosting fee	0	0	0
Additional underspend due to the full year effect of a 2018-19 contract reduction	(12)	(12)	0
Library Service – mitigation of property saving pressure through potential one-off staffing underspend due to vacancies.	0		0
	(12)	(12)	0
Net Position – Public Health & Wellbeing	0	0	0
Less: Funding	(1,016)	(1,016)	0
Total NCC:	(119)	(151)	(32)

Directorate	Project Ref	Project title	Description of Saving	% Savings delivered to Period 11	Savings b/fwd 2018/19 £000	Total Savings target 2019/20 £'000	Total Actual to Date £000	Total Projection for rest of Year £000	Total Actual to date/ Projected for rest of year £000	2018-19 Shortfall/ (Surplus) £000	2019-20 Shortfall/ (Surplus) £000	Expected Savings up to Period 11	Variance: profile / actual	
Northamptonshire Adult Social Services (NASS)	17-001-13	Adults Discretionary Services	Reduction in a range of prevention contracts that have come to an end and are not renewed in 2019-20.	100%	0	(100)	(100)	0	(100)	0	0	(100)	0	
Northamptonshire Adult Social Services (NASS)	17-008-09	Reduce Community Services Expenditure	The full year effect in 2019/20 of last year's savings proposal which has already been through consultation and the decision-making process.	100%	0	(50)	(50)	0	(50)	0	0	(50)	0	
Northamptonshire Adult Social Services (NASS)	18-001-04	Residential care block contract	This is an accounting correction of the inflation rate in 2018/19.	100%	0	(1,157)	(1,157)	0	(1,157)	0	0	(1,157)	0	
Northamptonshire Adult Social Services (NASS)	18-001-05	Client contributions	Additional client contributions achieved in 2018-19 due to impact of fairer contributions change in policy and additional care demand.	100%	0	(2,000)	(2,000)	0	(2,000)	0	0	0	(2,000)	
Northamptonshire Adult Social Services (NASS)	18-001-06	Staff vacancies/Turnover management	A review of how we allocate work in NASS has resulted in the service being able to carry a higher number of vacancies and so this money can be given up as a saving.	157%	0	(350)	(550)	0	(550)	0	(200)	(29)	(521)	
Northamptonshire Adult Social Services (NASS)	18-001-07	Re evaluation of base budget across service	Successful income strategies have allowed us to release some base budget from the Adults service which is now better funded from other sources, recognising the growth in demand.	100%	0	(3,772)	(3,772)	0	(3,772)	0	0	(3,772)	0	
Northamptonshire Adult Social Services (NASS)	18-001-08	Capitalisation of equipment	This means that equipment is paid for from Capital Funding and is treated as an asset (a one-off purchase rather than an ongoing cost) for the life of the asset.	100%	0	(1,100)	(1,100)	0	(1,100)	0	0	(1,100)	0	
Northamptonshire Adult Social Services (NASS)	18-001-09	Capitalisation of equipment (For whole Sustainability and Transformation Plan (STP) system)	This means that equipment is paid for from Capital Funding and is treated as an asset (a one-off purchase rather than an ongoing cost) for the life of the asset.	100%	0	(2,000)	(2,000)	0	(2,000)	0	0	(2,000)	0	
Northamptonshire Adult Social Services (NASS)	18-001-10	Sleep-ins	Removal of the money set aside if the Council had to pay for and backdate sleep-in care services, based on the High Court decision that said local authorities do not have to pay this (although this is under appeal).	100%	0	(3,767)	(3,767)	0	(3,767)	0	0	(3,767)	0	
Northamptonshire Adult Social Services (NASS)	18-001-11	Learning Disability Programme - New LD Provider Framework	This will change the way we buy care services for adults with learning disabilities from private providers, to meet the increasing demand in the most cost-effective way. This will ensure we have more certainty of our cost and can consider better ways of providing improved outcomes.	100%	0	(1,300)	(1,300)	0	(1,300)	0	0	(17)	(1,283)	
Northamptonshire Adult Social Services (NASS)	18-001-12	Learning Disability Programme - New models of Care	We plan to put a number of things in place to improve the quality and effectiveness of reviews and reassessments for people with learning disabilities. In future we want to focus more on people's outcomes and what the individual can do, rather than what they can't. This will include offering more opportunities for people to step down from residential care (where that is suitable) or live in more independent settings.	100%	0	(1,500)	(1,500)	0	(1,500)	0	0	(20)	(1,480)	
Northamptonshire Adult Social Services (NASS)	18-001-13	Intermediate Care	This proposal will reduce the number of long term care placements for those people discharged from hospital by offering more reablement (a short and intensive service aiming to help people go back to being as independent as they can be) and step down care (transferring people out of hospital but giving them the support they need before they are able to remain at home independently). This includes 24 hour care at home for a short period to help people being discharged from hospital faster and also avoid people going into residential care where they do not need to. We know too that people's long term outcomes are better if they leave hospital in a timely and safe way and as soon as they no longer need hospital care.	95%	0	(700)	(663)	0	(663)	0	37	(58)	(605)	
Northamptonshire Adult Social Services (NASS)	18-001-15	Utilisation of Older People Extra Care schemes	We know that older people's wellbeing and health is better when they are able to maintain their independence for as long as possible - in a safe and supported environment and they feel part of a community. As a result, we want to reduce the number of people who have to be placed in residential care, by utilising extra care solutions which help reduce social isolation, means their independence and safety is maximised, whilst also offering better value for money.	0%	0	0	0	0	0	0	0	0	0	
Northamptonshire Adult Social Services (NASS)	18-001-16	Specialist centre for – Step down Care Mental Health and Acquired brain injury	New Step down and community based care for Care Mental Health and Acquired brain injury clients requiring rehabilitation care	0%	0	0	0	0	0	0	0	0	0	
Northamptonshire Adult Social Services (NASS)	18-001-17	Decommission In house Dementia Specialist Domiciliary Care Service	The home care service run by NASS is supporting fewer people than it has capacity for and this has been steadily reducing. As a result it does not offer value for money in terms of utilisation of the staff and when compared to the costs paid on the Council's new Home Care Provider Framework for private providers. This proposal would mean moving existing customers to new providers who would provide the same levels of home care and moving in house staff to other areas where we need their capacity and skills, such as supporting hospital discharges. This will offer better value for money at the same time as ensuring ongoing care for all existing customers. We are consulting directly with customers who are currently using this service and their families to find out their views.	100%	0	(150)	(150)	0	(150)	0	0	(150)	0	
Northamptonshire Adult Social Services (NASS)	18-001-18	Shaw PFI Programme - Contract Compliance	The Council has a 25 year contract with Shaw for the building and running of 4 specialist care centres (SCCs) with the supporting care services. This contract runs until 2028. The contract met the Council's service needs at the time it was set up in 2003 but over time, the complexity of people and the advances in home-based reablement and rehabilitation means that most people who would have gone to the centres in the past now stay in their own homes. However, it has been difficult to place people needing more complex support in these centres, even though the Council continues to pay for the beds, regardless of how many are filled. Through improved contract management, we can increase the use and occupancy and get better value for money.	92%	0	(1,192)	(1,100)	0	(1,100)	0	92	(99)	(1,001)	
Northamptonshire Adult Social Services (NASS)	18-001-19	Shaw PFI Programme - Contract variation	Savings from decommissioning day care services and transport contract and move clients to other provision	0%	0	0	0	0	0	0	0	0	0	
Northamptonshire Adult Social Services (NASS)	18-001-20	Shaw PFI Programme - Funded Nursing Care	As part of the work on the Shaw contract, we plan to potentially increase the number of nursing places and ensure that Funded Nursing Care costs are recouped for qualifying nursing payments. This will only affect the future management processes for the Specialist Care Centres.	53%	0	(248)	(131)	0	(131)	0	117	(21)	(110)	
Northamptonshire Adult Social Services (NASS)	18-001-21	Shaw PFI Programme - Decommission Day centre service	We are reviewing day centres services that are part of the Shaw contract, which are under-used but have a high operating cost and associated transport costs. It may be that we can achieve better value for money by using different day care services for those customers, without a reduction in the services they receive. We are consulting directly with customers who are currently using this service and their families to find out their views.	276%	0	(100)	(276)	0	(276)	0	(176)	(8)	(268)	
Northamptonshire Adult Social Services (NASS)	18-001-22	Eleanor Lodge - Change of use	There is a shortage of appropriate, cost-effective supported living placements for people with learning disabilities within Northamptonshire. The proposal is to convert Eleanor Lodge (which currently provides short-term breaks and respite placements mainly for customers with a learning disability) into 3/4 bedroom supported living flats/houses. This would mean that the service at this site would no longer provide short breaks and this would need to be provided in other short breaks services (within the Council or by other providers) or by offering customers Direct Payments or individual budgets.	89%	0	(300)	(267)	0	(267)	0	33	(25)	(242)	
Northamptonshire Adult Social Services (NASS)	18-001-23	Older People Continuing Healthcare Optimisation	Ensuring that older people with significant health conditions have better access to Continuing Healthcare support, meaning that their costs are paid for by Health Providers rather than the Council where that is appropriate under national guidance.	100%	0	(100)	(100)	0	(100)	0	0	(8)	(92)	
Northamptonshire Adult Social Services (NASS)	18-001-24	Net Vs Gross - Payments policy	A policy change regarding top -up payments for care	0%	0	0	0	0	0	0	0	0	0	
Northamptonshire Adult Social Services (NASS)	18-001-25	Single handed Project	After a successful pilot scheme in 2018/19, this would roll out the 'single-handed project' to more customers. This reduces the reliance on 2 home carers visiting clients 4 times a day by using specialist equipment and training to reduce existing care or	100%	0	(1,000)	(1,000)	0	(1,000)	0	0	(83)	(916)	
Northamptonshire Adult Social Services (NASS)	18-001-26	NCC Care Home ownership	The Council is considering whether a residential home should be taken back into NCC ownership and converted in order to manage and support clients with complex needs in a more cost-effective way.	135%	0	(183)	(247)	0	(247)	0	(64)	(15)	(232)	
Northamptonshire Adult Social Services (NASS)	18-001-27	Nursing home in North	Saving on independent nursing care placements	0%	0	0	0	0	0	0	0	0	0	
Northamptonshire Adult Social Services (NASS)	18-001-28	Saxon Court	This is a new development planned by a private provider for a new Supported Living Scheme in Northampton for younger adults with complex needs. This will expand our opportunity to support more younger adults to live more independently in the community and	0%	0	(166)	0	0	0	0	166	0	0	
Northamptonshire Adult Social Services (NASS)	18-007-02	Budget re-alignment	This is re-aligning Public Health and Wellbeing budgets to meet our current expenditure requirements.	100%	0	(129)	(129)	0	(129)	0	0	(129)	0	
Northamptonshire Adult Social Services (NASS)	18-007-06a	Public Health proposal	Saving to be achieved by aligning prevention programmes across ACW.	100%	0	(780)	(780)	0	(780)	0	0	(780)	0	
Northamptonshire Adult Social Services (NASS)	18-007-07	Strategic Commissioning Hub		0%	0	(200)	0	0	0	0	200	(200)	200	
Northamptonshire Adult Social Services (NASS)	18-001-29	Canary Project	A business case has been written and has been agreed (retrospectively being approved at transformation board). This project is going to commence in 18-19 where canary systems will be installed to achieve long term care package savings. Capital and resourc	128%	0	(400)	(514)	0	(514)	0	(114)	(33)	(480)	
Northamptonshire Adult Social Services (NASS)	18-001-30	Mental Health client contributions - S117 aftercare.	Mental Health S117 aftercare income. Project to commence in 18-19 to ascertain where we may not be charging clients that have had S117 aftercare. (If a mental health patient has been discharged after being in hospital they can receive free aftercare under	0%	0	(240)	0	0	0	0	240	(20)	20	
Northamptonshire Adult Social Services (NASS)	18-001-31	Adult Social Care Staff re-organisation	staff saving anticipated although a full in depth review of the establishment/structure in Adults needs to take place.	100%	0	(300)	(300)	0	(300)	0	0	(25)	(275)	
Total: Northamptonshire Adult Social Services (NASS)						0	(23,284)	(22,952)	0	(22,952)	0	332	(13,668)	(9,284)

Directorate	Project Ref	Project title	Description of Saving	% Savings delivered to Period 11	Savings b/fwd 2018/19 £000	Total Savings target 2019/20 £'000	Total Actual to Date £000	Total Projection for rest of Year £000	Total Actual to date/ Projected for rest of year £000	2018-19 Shortfall/ (Surplus) £000	2019-20 Shortfall/ (Surplus) £000	Expected Savings up to Period 11	Variance: profile / actual	
Children First Northamptonshire (CFN)	18-002-02	Recurrent mitigations - Children First Northamptonshire	The full year effect in 2019/20 of previous years' savings proposals which have already been through consultation and the decision-making process.	100%	0	(1,134)	(1,134)	0	(1,134)	0	0	(1,134)	0	
Children First Northamptonshire (CFN)	18-002-03	Agency staff conversion	This is the expected full year effect for 2019/20 of savings through the campaign to convert Agency staff to Permanent staff during 2018-19, making sure that Children's Services will have a stable, effective and permanent workforce.	5%	0	(1,624)	(71)	(6)	(77)	0	1,547	(1,624)	1,553	
Children First Northamptonshire (CFN)	18-002-04	Reorganisation	Savings that can be made by joining up teams to make sure that as many as possible of the resources available to the service are used to support children and families.	32%	0	(1,207)	(389)	0	(389)	0	818	(1,207)	818	
Children First Northamptonshire (CFN)	18-002-05	Reduction in legal spend	Reducing the amount of money we spend on legal fees with LGSS Law by increasing our control and scrutiny of work undertaken so we don't always have to rely on lawyers.	0%	0	(750)	(8)	8	0	0	750	(63)	55	
Children First Northamptonshire (CFN)	18-002-06	Redevelopment of Secure Unit	Development of In House Provision (change statement of purpose to existing homes, new homes or development of Secure unit)	0%	0	0	0	0	0	0	0	0	0	
Children First Northamptonshire (CFN)	18-002-07	Review of Appeals Rights Exhausted/No Recourse to Public Funds accommodation	This is about improving how we work with other agencies to make sure we meet our statutory duties and Human Rights legislation by applying a clear policy and having more appropriate housing options for people who are in the country on arrangements which mean they receive little or no support.	7%	0	(350)	(24)	(1)	(25)	0	325	(350)	326	
Children First Northamptonshire (CFN)	18-002-08	Reduction in Section 17 support	Financial assistance in terms of goods or services, or in exceptional circumstances cash, can be provided to a child, parent or carer under Section 17(6) Children Act 1989 to address identified needs to safeguard and promote a child's welfare where there is no other legitimate source of financial assistance. Improving control of expenditure will make sure we meet our statutory duties and operate within our policy.	0%	0	(200)	0	0	0	0	200	(17)	17	
Children First Northamptonshire (CFN)	18-002-09	Continuation of International Social Worker recruitment	This is the expected full year effect for 2019/20 of savings through the ongoing International Social Worker Recruitment campaign, making sure that Children's Service will have a stable, effective and permanent workforce.	25%	0	(725)	(165)	(20)	(185)	0	541	(725)	560	
Children First Northamptonshire (CFN)	18-002-10	Review of Mother & Baby assessments	If we improve practice and the quality of pre-birth assessments for those families where potential safeguarding issues have been identified, we can reduce the need for expensive residential Mother & Baby assessments and improve support for families at an earlier point.	0%	0	(400)	0	0	0	0	400	(33)	33	
Children First Northamptonshire (CFN)	18-002-12	Residential Short Breaks	This is a saving to NCC's budget as a result of the Clinical Commissioning Groups committing to their financial contribution towards this service.	100%	0	(896)	(896)	0	(896)	0	0	(896)	0	
Children First Northamptonshire (CFN)	18-002-13	Review of Unaccompanied Asylum Seeking Children (UASC) accommodation	Review arrangements for 18+ population to ensure value for money whilst providing appropriate accommodation.	35%	0	(200)	(60)	(10)	(70)	0	130	(17)	(43)	
Children First Northamptonshire (CFN)	18-002-14	Placement Sufficiency	Increasing the occupancy of the Council's own residential homes and utilisation of foster carers to reduce reliance on external agencies.	0%	0	(1,200)	(26)	26	0	0	1,200	(100)	74	
Children First Northamptonshire (CFN)	18-002-15	Rationalisation of contracts	*The contract for Domestic Abuse Services that focuses on individual child and family support where domestic abuse has been identified is coming to an end and alternative ways of supporting families are being explored with partners. Children First Targeted Early Help Services will continue to support families. *The MST-FIT service is a licensed intensive family and community based programme for adolescents aged 11-17, and as such only reaches a limited number of families. By releasing the current location in which the therapy is provided, an NCC-owned residential home, we can use this location to accommodate more children in care in Northamptonshire. This will mean less children and young people being accommodated away from their communities and current schools, whilst also improving our ability to respond to rising demand. *The current contract to support young people not in education or employment (NEET) tracks the outcomes of young people and provides advisor support to young people that are NEET. This proposal would reduce the spend on support for young people Not in Education, Employment or Training (NEET) whilst making sure that statutory duties are still met	100%	0	(800)	(800)	0	(800)	0	0	(800)	0	
Children First Northamptonshire (CFN)	18-002-16	Virtual School	Using funding from Pupil Premium Plus (funding for looked after and previously looked after children) within grant conditions, to support the educational attainment of Children in Care.	100%	0	(36)	(36)	0	(36)	0	0	(3)	(33)	
Children First Northamptonshire (CFN)	18-002-17	Schools capitalisation	This refines schools revenue contributions through capital funding to help pay for the increasing demand for services in CFN.	100%	0	(427)	(213)	(214)	(427)	0	0	0	(213)	
Children First Northamptonshire (CFN)	18-007-06b	Public Health proposal	Saving to be achieved by aligning prevention programmes across CFN.	100%	0	(47)	(47)	0	(47)	0	0	(47)	0	
Children First Northamptonshire (CFN)	18-002-18	Agency Placements	Disabled children agency placement specialist placements cost negotiation	0%	0	(300)	0	0	0	0	300	(25)	25	
Total: Children First Northamptonshire (CFN)						0	(10,296)	(3,869)	(217)	(4,086)	0	6,211	(7,040)	3,171
Corporate Services	18-004-03	Contribution to Elections Reserve	If there are no local elections, the Council can save the contribution it would need to make to running these.	100%	0	(134)	(134)	0	(134)	0	0	(134)	0	
Corporate Services	18-007-03	Libraries recurrent mitigations	The Library Service has undertaken a comprehensive review of staffing structures in the current delivered library provision, including the original 21 libraries	100%	0	(543)	(543)	0	(543)	0	0	(543)	0	
Corporate Services	18-007-05	Libraries proposal	The £378k relates to the original libraries proposal agreed by Full Council in February 2018 for financial year 2018/19. The figure for decommissioning and redundancy costs was originally included in the library proposal funded from base budget in 2018-19. This adjustment is just removing the funding from the base budget for 2019-20 as originally communicated in the original library proposals agreed in Feb 2018.	46%	0	(258)	(258)	0	(258)	0	0	(381)	123	
Corporate Services	18-007-04	Emergency Planning	This would be the part-funding of the Emergency Planning team by Public Health to develop more a comprehensive and joined-up Emergency Planning / Health Protection programme of work.	0%	0	(73)	0	0	0	0	73	(73)	73	
Corporate Services	18-007-06	Public Health proposal	This saving would be achieved by aligning prevention programmes across directorates, which legitimately form part of Public Health outcomes.	92%	0	(525)	(492)	0	(492)	0	33	(225)	(325)	
Corporate Services	18-004-04	Public Health Communications and Marketing	This would utilise existing public health money to fund specific public health communications and social marketing activity, in line with the rules around utilisation of the Public Health grant.	100%	0	(45)	(45)	0	(45)	0	0	(45)	0	
Total: Corporate Services						0	(1,578)	(1,472)	0	(1,472)	0	106	(1,401)	(129)
Cross-cutting	18-003-01	Staff Travel	Using the most cost effective travel methods for staff.	10%	0	(100)	(10)	0	(10)	0	90	0	(10)	
Cross-cutting	18-003-02	Expenditure Control Panel	Reviewing non-essential spend.	100%	0	(221)	(221)	0	(221)	0	0	(18)	(203)	
Cross-cutting	18-003-03	Voluntary redundancy 1	Reallocation of tasks to deliver efficiencies and where possible delete posts through a process of voluntary redundancy.	100%	0	(460)	(460)	0	(460)	0	0	(460)	0	
Cross-cutting	18-003-04	Voluntary redundancy 2	Reallocation of tasks to deliver efficiencies and where possible delete posts through a process of voluntary redundancy.	20%	0	(1,000)	(196)	0	(196)	0	804	(1,000)	804	
Cross-cutting	18-003-05	Procurement Review	Driving lower prices from our contracts and improving the way we negotiate, monitor and manage contracts	48%	0	(1,000)	(375)	(106)	(481)	0	519	0	(375)	
Cross-cutting	18-008-06	One Angel Square	The additional income from the sale of One Angel Square is being split across a number of years.	108%	0	(439)	(475)	0	(475)	0	(36)	(439)	(36)	
Total: Cross-cutting						0	(3,220)	(1,737)	(106)	(1,843)	0	1,377	(1,917)	180
LGSS	14-005-01	LGSS Operational Savings	Savings made in our back office functions through more efficient delivery.	100%	0	(248)	(248)	0	(248)	0	0	(230)	(18)	
LGSS	17-005-06	Finance Operations	Savings made in the Finance back office function through more efficient delivery.	100%	0	(8)	(8)	0	(8)	0	0	(8)	0	
LGSS	17-005-09	HR, Transactions and Payroll	Savings made in our back office functions through more efficient delivery.	100%	0	(63)	(63)	0	(63)	0	0	0	(63)	
LGSS	17-005-13	Business Systems and Change	Savings made in our back office functions through more efficient delivery.	100%	0	(50)	(50)	0	(50)	0	0	(50)	0	
Total: LGSS						0	(369)	(369)	0	(369)	0	0	(288)	(81)
Public Health & Wellbeing	18-007-06	Public Health proposal	This saving would be achieved by aligning prevention programmes across directorates, which legitimately form part of Public Health outcomes.	92%	0	(12)	0	0	0	0	12	(237)	237	
Public Health & Wellbeing	18-007-06c	Public Health proposal	Saving to be achieved by aligning prevention programmes across LGSS.	100%	0	(11)	(11)	0	(11)	0	0	(11)	0	
Total: Public Health & Wellbeing						0	(23)	(11)	0	(11)	0	12	(248)	237

Directorate	Project Ref	Project title	Description of Saving	% Savings delivered to Period 11	Savings b/fwd 2018/19 £000	Total Savings target 2019/20 £'000	Total Actual to Date £000	Total Projection for rest of Year £000	Total Actual to date/ Projected for rest of year £000	2018-19 Shortfall/ (Surplus) £000	2019-20 Shortfall/ (Surplus) £000	Expected Savings up to Period 11	Variance: profile / actual	
Place	15-006-29	Bus Subsidies	The full year effect in 2019/20 of last year's savings proposal which has already been through consultation and the decision-making process.	100%	0	(212)	(212)	0	(212)	0	0	(212)	0	
Place	15-006-46	Exploitation of Superfast Broadband	Increase the optimisation of Superfast Broadband to over 50% to trigger further sharing of benefits	0%	0	0	0	0	0	0	0	0	0	
Place	17-004-02	Commercialisation of assets	Increasing income to sustain vital services by selling advertising space in prime locations around the County where advertisers would want to promote their products and services.	1%	0	(50)	0	(2)	(2)	0	48	(50)	50	
Place	17-006-22	Chester Farm	This is the phased removal of the revenue budget for the set up costs for Chester Farm, the heritage project near Irchester.	100%	0	(125)	(125)	0	(125)	0	0	(125)	0	
Place	18-006-03	Home To School Transport	The objective of the transformation project is to re-design and deliver an efficient and effective integrated travel coordination unit that is capable of assessing need, designing appropriate travel solutions and strengthening supply chain management of transport services. The intention is to co-locate and centrally manage the end to end process so that decision making and budgetary responsibility are reconnected to provide better value for money and safely discharge the statutory functions of the Council. The new approach will seek to promote sustainable travel, health and wellbeing and ensure that we can invest in a travel training programme so that young people, wherever appropriate, will be supported to learn new skills and have the best possible chance of becoming independent travellers. Existing policies and procedures will be reviewed and, if changes are required then public consultation will take place in the future with regard to policy changes.	182%	0	(300)	(546)	0	(546)	0	(246)	0	(546)	
Place	18-006-04	Waste management	This would be made from savings negotiated on the rent for household waste and recycling centres (HWRCs) and using capital funding to pay for some of the Council's HWRC costs where allowed by regulations.	60%	0	(109)	(65)	0	(65)	0	44	(65)	0	
Place	18-006-05	Highways Contract	This saving would come from a negotiated fee reduction on the Highways contract overheads without impacting on service delivery.	100%	0	(186)	(167)	(19)	(186)	0	0	(13)	(155)	
Place	18-006-06	Place-based public health offer	This would be a saving to the Place Services budget because appropriate projects within Place Services that are linked to the Public Health Business plan and Joint Health and Wellbeing Strategy would be funded in a different way.	0%	0	(200)	0	0	0	0	200	(200)	200	
Place	18-006-08	Concessionary Fares volume reduction	This would reduce the budget for the payment of Concessionary Fares by £300k, based on forecast future usage of the scheme. This is a national scheme with national eligibility criteria and this reduction in budget will not see anyone who is eligible miss out on a bus pass.	100%	0	(300)	(225)	(75)	(300)	0	0	0	(225)	
Place	18-006-09	Street lighting PFI	Contract management savings from the Street lighting PFI contract which will be achieved from shared overheads with Cambridgeshire County Council.	0%	0	(120)	0	0	0	0	120	0	0	
Place	18-006-10	Winter Maintenance	Paying for the maintenance works at Brixworth salt barn using capital funds as per regulations.	100%	0	(100)	(100)	0	(100)	0	0	0	(100)	
Place	18-006-14	Route based forecasting	Expected savings from winter gritting route planning	100%	0	(40)	(40)	0	(40)	0	0	(40)	0	
Place	18-006-15	Waste planning model	Contractual and operational changes in the waste service	100%	0	(730)	(617)	(113)	(730)	0	0	(178)	(439)	
Place	18-007-05	Libraries proposal	The £378k relates to the original libraries proposal agreed by Full Council in February 2018 for financial year 2018/19. The figure for decommissioning and redundancy costs was originally included in the library proposal funded from base budget in 2018-19. This adjustment is just removing the funding from the base budget for 2019-20 as originally communicated in the original library proposals agreed in Feb 2018.	46%	0	(123)	(57)	0	(57)	0	66	(381)	324	
Place	18-007-06d	Public Health proposal	Saving to be achieved by aligning prevention programmes across Place.	0%	0	(50)	0	0	0	0	50	0	0	
Total Place						0	(2,645)	(2,154)	(209)	(2,363)	0	282	(1,264)	(890)
Total NCC						0	(41,415)	(32,564)	(532)	(33,096)	0	8,319	(25,826)	(6,796)

Directorate	Project Ref	Project title	Description of Saving	% Savings delivered to Period 5	Savings b/fwd 2018/19 £000	Total Savings target 2019/20 £'000	Total Actual to Date £000	Total Projection for rest of Year £000	Total Actual to date/ Projected for rest of year £000	2018-19 Shortfall/ (Surplus) £000	Expected Savings up to Period 11	Variance: profile / actual
Cross-cutting BF	17-004-07BF	Council-wide Commercial Review	Review of all commercial activity across the County Council.	0%	(250)	0	0	0	0	250	0	0
Cross-cutting BF	18-003-01BF	Staff Travel	Using the most cost effective travel methods for staff.	0%	(150)	0	0	0	0	150	0	0
Total: Cross-cutting						(400)	0	0	0	400	0	0
Place BF	17-004-02BF	Commercialisation of assets	Increasing income to sustain vital services by selling advertising space in prime locations around the County where advertisers would want to promote their products and services.	0%	(220)	0	0	0	0	220	0	0
Place BF	17-006-15BF	Asset Restructure	Maximising utilisation of office space within Angel Square.	0%	(500)	0	0	0	0	500	0	0
Place BF	17-006-19BF	Sessions House Review	Closure of tourist information and Sessions House.	100%	(25)	0	(25)	0	(25)	0	0	(25)
Place BF	17-006-21BF	Increase on-street parking provision	Extension of on-street parking provision across the county as appropriate	18%	(300)	0	(48)	(7)	(55)	245	0	(48)
Total Place						(1,045)	0	(73)	(7)	(80)	965	(73)
Place: Income	17-006-21BF	Increase on-street parking provision	Extension of on-street parking provision across the county as appropriate	18%	(200)	0	0	0	0	200	200	(200)
Place: Income	18-006-07INC	Additional income from parking charges	Realignment of budget to reflect increased income from parking charges	0%	(300)	(300)	(300)	0	(300)	0	0	(300)
Total Place: Income						0	(500)	(300)	0	(300)	200	(500)
Total Income and brought forward savings						(1,445)	(500)	(373)	(7)	(380)	1,565	(573)



CABINET

14 APRIL 2020

EXECUTIVE DIRECTOR OF FINANCE: BARRY SCARR

**CABINET MEMBER WITH RESPONSIBILITY FOR FINANCE:
COUNCILLOR MALCOLM LONGLEY**

Subject:	Monthly Capital Report (MCR) – Forecast Outturn as at Period 11 for the financial year ending 31st March 2020.
Recommendations:	<p>Cabinet is requested to:</p> <ol style="list-style-type: none"> 1. Note the forecast capital expenditure of £67m for 2019-20. 2. Approve and recommend the promotion of two schemes from the Development Pool into the Capital Programme with investment totalling £5,695k: <ol style="list-style-type: none"> i. Magdalen College School Expansion - £3,223k ii. Moulton School and Science College Expansion - £2,472k 3. Approve the extension of three schemes within the current Capital Programme with investment totalling £800k: <ol style="list-style-type: none"> i. Community Libraries Programme – S106 Funding - £383k ii. Country Parks Minor Developments - £114k iii. Elizabeth Woodville School Refurbishment - £303k

1. Purpose of Report

1.1 This report sets out the forecast capital outturn for the financial year 2019-20 and the approved forecast expenditure within the Capital Programme for future years. The report also seeks Cabinet approval for the promotion of two schemes from the Development Pool into the Council’s committed Capital Programme, and the extension of three capital schemes within the current Capital Programme following recommendation from the Capital Approvals Board.

2. Background

2.1 The Capital Programme is the Council’s plan for investing in assets to efficiently deliver its statutory services, and to improve the local infrastructure of Northamptonshire, with the benefits lasting over a number of years. Resources come from Government grants and contributions, capital receipts from surplus land and buildings, and where there is no other funding source available, short and long term borrowing as appropriate.

2.2 The Capital Programme has links to the revenue budget through the repayment of any loans taken out to fund capital investment. As such, there is a comprehensive due diligence process for reviewing capital schemes before being recommended to Cabinet and full Council for approval.

3. How this decision contributes to the Council Plan

3.1 The Council's vision is for Northamptonshire to be a county where everyone looks after each other and takes responsibility, where the vulnerable are protected and supported and where the people who can help themselves receive the assistance they need to stay independent and healthy.

The Council's Capital Programme helps the Council to deliver this vision through the following strategic priorities outlined in the Council Plan.

- Working in partnership with other public sector organisations (such as the seven district and borough councils, the local NHS bodies, and Northamptonshire Police).
- Enabling individuals and communities to achieve better outcomes.
- Engaging with partners and communities to co-design and co-deliver services.
- Using innovation to find better and more sustainable ways of delivering services ensuring they are efficient and affordable in the long term.
- Focusing resources on prevention and early intervention.
- Using technology and digital solutions to meet the needs of residents.
- Commissioning and procuring services and goods with partners.
- Utilising the Council's assets effectively.
- Reducing inequalities and disparity of opportunities.

4. Governance

4.1 The governance of the Capital Programme comprises:

- A Capital Approvals Board chaired by the S151 Officer, and attended by the Executive Director for Strategic Delivery and Transformation, the Cabinet members for Finance and Highways and Place, and the relevant senior officers with subject matter expertise. The group reviews requests for new capital schemes to inform recommendations to Cabinet
- A Capital Projects Board chaired by the Executive Director for Strategic Delivery and Transformation, aimed at reviewing scheme progress through project management reporting and identifying issues and risks which require support to resolve or escalation to the Northamptonshire Leadership Team (NLT) and S151 Officer.
- A Place Shaping Board which ensures that new developments, disposals, investments and acquisitions are taken forward by the County Council (independently or in partnership) supporting strategic objectives for financial sustainability, economic growth and regeneration across the County.
- The Councils Financial Regulations relating both specifically to capital approval processes and those wider regulations in relation to overarching financial governance and procurement.

- The Capital Programme monitoring undertaken monthly by managers in line with the Financial Management SORP which sets out budget manager responsibilities. The outcome of the capital financial monitoring forms part of this report which includes up to date projections for capital expenditure and funding in the current financial year, identifying financial and service delivery risks in relation key capital schemes.

5. Capital Strategy

5.1 The Council's Capital Strategy 2019-20 to 2022-23 agreed by Council on 21 February 2019 approved a forecast Capital Programme of £389m. This consisted of a committed Capital Programme of £80m and a development pool of £309m for new schemes identified over the four year plan period.

5.2 The development pool is the Council's medium term capital budget for schemes that still require full business case review and/or confirmation of funding and as such are subject to Cabinet approval. A key planning assumption of the development pool was that £24.5m of discretionary funding (borrowing) was required over the period April 2019 to March 2023 (subject to Cabinet approval). Further detail on the schemes can be found in Appendix B.

5.3 The key objectives of the Capital Strategy are as follows:

- New capital investment will only be permitted if it contributes to the achievement of the Council's strategic aims and objectives.
- The delivery of a medium term capital programme which is affordable and sustainable, ensuring that the Council's internal resources and application of external borrowing are utilised to fund capital expenditure where it supports the delivery of the Council's financial sustainability and where there are statutory requirements such as health & safety.
- The use of external funding is prioritised against the areas of greatest need within the County, in the main supporting highways maintenance and the delivery of education places within the county. In the current financial climate priority will be given to schemes that also deliver transformation and/or revenue savings.
- The maximisation of the use of the Council's assets, and where possible working with local partner organisations to optimise the efficiency of assets across the public sector and Northamptonshire.

5.4 The Capital Strategy 2020-21 to 2023-24 includes a review of schemes and costs for the development pool, the discretionary funding requirement and known changes to external grants and funding and was presented to Cabinet, then Full Council, in February 2020 for approval.

6. Capital Monitoring Summary

6.1 The Council's forecast £80m of capital expenditure over the years 2019-20 to 2022-23, reported in the Capital Strategy in February 2019, increased to £97m as a result of subsequent reprofiled expenditure approved by Cabinet, and new Cabinet approved schemes entering the programme prior to the start of the financial year.

6.2 Throughout 2019-20 financial year Cabinet also approved new schemes into the Capital Programme, granted extensions to current schemes, and removed schemes to give an approved Capital Programme budget of £159m, of which £94m relates to 2019-20.

6.3 The Council's 2019-20 Capital Programme budget and forecast expenditure are shown in Table 1, with the detailed service expenditure summaries set out in Appendix A.

Summary of 2019-20 Capital Programme budget and outturn expenditure by service area (Table 1)

	Current Budget 2019-20 & Future Years	Original 2019-20 Forecast *	Revised 2019-20 Forecast	Movement between Original and Revised Forecast	2019-20 Spend to P11
	£000's	£000's	£000's	£000's	£000's
Environment Planning & Transport	105,801	56,444	41,293	(15,151)	31,212
Children First Northamptonshire	37,218	23,400	17,145	(6,255)	13,690
Asset & Capital Management	3,107	2,601	1,347	(1,254)	1,170
NASS	5,325	5,251	5,086	(165)	3,227
Corporate Services	2,476	1,975	1,075	(900)	254
Corporate and Community Services	3,907	2,778	345	(2,433)	212
IT Infrastructure/ Development	1,472	1,472	925	(547)	551
Public Health and Wellbeing	150	0	150	150	0
Total	159,456	93,921	67,366	(26,555)	50,316

*The original 2019-20 forecast includes subsequent Cabinet approvals profiled to spend within the year.

6.4 The 26.6m reduction in the table above represents the year-to-date movement in the 2019-20 capital expenditure forecast.

6.5 The change in 2019-20 forecast expenditure since the Period 10 monitoring report is a net increase of £2.3m, as a result of the following significant scheme movements of £0.5m or over:

- Local Highways Maintenance - £1.0m increase in expenditure on this one-off £6.8m grant funded scheme. This now means that the scheme will complete in 2019-20.
- Schools Dedicated Formula Capital grant 2019-20 – new forecast of £0.7m following approval at March 2020 Cabinet as part of the Monthly Capital Report approvals. This funding is passported to local authority maintained schools in 2019-20.

- Community Equipment 2019-20 - £0.5m increase following approval of the scheme extension at March 2020 Cabinet as part of the Monthly Capital Report approvals.

6.6 The current year-to-date expenditure (within Table 1) is £50.3m with a full year forecast of £67.4m. The difference is £17.1m of expenditure is expected to be incurred in the final month of the 2019-20 financial year.

6.7 The following six schemes, each with forecast expenditure of £1m or more, represent £10.1m of the remaining total forecast expenditure of £17.1m, with the balance being split over a larger number of schemes:

- £2.2m - A43 Northampton to Kettering phase 1b – this £17m scheme will complete in the current financial year and includes payments from the main contractor along with Kier project management costs.
- £2.0m - Daventry Development Link Road – this £47m scheme was completed in November 2018 but there are remaining payment claims under dispute with the main contractor, retention payments and final works being completed. It is expected the final agreement and bill will be paid prior to 31 March 2020.
- £1.8m - LTP Maintenance Block – this £11m grant funded scheme is for 2019-20 highways capital maintenance works.
- £1.6m Local Highways Maintenance – this £6.8m grant funded scheme was an additional grant allocated as part of the 2018-19 government budget announcements.
- £1.5m – Community Equipment – this relates to the capitalisation of community equipment provided via Adult Social Care.
- £1.0m - Kettering Secondary Schools extensions – this £12m scheme is being delivered to increase capacity and meet demand for September 2020.

6.8 Table 2 shows the Capital Programme sources of funding by Directorate over the medium term horizon.

Current Capital Programme Funding 2019-20 to 2022-23 (Table 2)

	Discretionary Funding (borrowing)	Repayable (borrowing) *	Grant Funding	s106	Developer, District & Other Contributions	Total Funding Requirement for 2019-20 Onwards
	£000's	£000's	£000's	£000's	£000's	£000's
Environment, Planning & Transport	13,479	2,774	48,642	36,598	4,308	105,801
Children First Northamptonshire	3,328	0	22,838	11,052	0	37,218
Asset & Capital Management	2,264	0	843	0	0	3,107
NASS	5,325	0	0	0	0	5,325

	Discretionary Funding (borrowing)	Repayable (borrowing) *	Grant Funding	s106	Developer, District & Other Contributions	Total Funding Requirement for 2019-20 Onwards
	£000's	£000's	£000's	£000's	£000's	£000's
Corporate Services	2,476	0	0	0	0	2,476
Corporate and Community Services	3,266	0	190	451	0	3,907
IT Infrastructure/ Development	1,472	0	0	0	0	1,472
Public Health and Wellbeing	0	0	150	0	0	150
TOTAL	31,610	2,774	72,663	48,101	4,308	159,456
%	19.82%	1.74%	45.57%	30.17%	2.70%	100%

*Note 1: repayable borrowing is short term gap funding awaiting repayment linked to contract agreement trigger points. This means that NCC will undertake borrowing to initially fund the scheme with repayment coming at a future date.

6.10 The Councils discretionary funding requirement for its medium term Capital Programme is £34m, of which £24m relates to borrowing, with the following additional sources of finance:

- £6.3m relates to ring-fenced capital receipts related to the East Northants restructure scheme within the Capital Programme.
- £4m relates to repayments of upfront funding by NCC in respect of Daventry Development Link Road and the Superfast Broadband extension forecast to 2022-23. A further approximately £18m in relation to Daventry Development Link Road is forecast to be received after this time.

6.11 Prudential Borrowing Requirement over the MTFP Period (including Development Pool commitments). (Table 3)

	£000's
Capital Programme borrowing requirement	24,084
Forecast Discretionary funding for the schemes within the Development Pool over the medium term period to 2022-23.	15,906
Total new borrowing requirement approved (MTP period) *	39,990

Note 2: The figure in the above table varies to the discretionary funding requirement shown in Table 2 as that represents the gross funding requirement for the current programme only and so excludes the future programme and receipts as detailed in paragraph 6.9.

7. Capital Receipts and Use of Resources

7.1 Table 4 shows the total capital receipts forecast at the end of 2019-20, based on receipts not utilised from previous years and new receipts from the sale of property

during 2019-20. The forecast has decreased by £1.3m from Period 10 following a review of disposals planned to be completed by the end of the financial year. The majority of this movement is down to one disposal now slipping into 2020-21

Table showing the capital receipts the Council expects to have available at the end of 2019-20 (Table 4)

	£000's
Unused capital receipts brought forward from 2018-19	3,232
2019-20 capital receipts to date	2,850
2019-20 further capital receipts forecast	1,415
Total Forecast Available Capital Receipts	7,497

7.2 On 20th June 2019 Full Council approved the Flexible Use of Capital Receipts Strategy 2020 including approved forecast expenditure of £6.8m within 2019-20 to fund the Council's Transformation Programme. The Period 11 forecast has increased slightly to £5.4m, up from £5.2m at P10. New transformation projects with expenditure for 2020-21 were included for full Council approval in February 2020 alongside the Councils 2020-21 Budget.

8. Recommendations and Updates to the Capital Programme

The Capital Approvals Board has recommended the following capital schemes be added to the Capital Programme.

Summary of capital schemes recommended for inclusion in the Capital Programme (Table 5).

No.	Scheme Status	Capital Scheme Title	Capital Budget Increases/ (Decreases) for Approval £000's	Funding Source
1	Promotion from Development Pool	Magdalen College School Expansion	3,223	S106
2	Promotion from Development Pool	Moulton School and Science College Expansion	2,472	S106
3	Extension of Scheme	Community Libraries Programme – S106 Funding	383	S106
4	Extension of Scheme	Country Parks Minor Developments	114	Donations and external income
5	Extension of Scheme	Elizabeth Woodville School Refurbishment	303	S106/Basic Needs Grant
Total			6,495	

1. Magdalen College School Expansion - £3,222,901

The academy has developed plans to build a new teaching block with 9 new classrooms, 4 music practice rooms, associated ancillary spaces and changing facilities for the existing adjacent sports hall. This is in response to housing development within the schools linked areas, which is having an impact on demand for school places. Planning approval was obtained for the scheme in March 2019 and the extension will enable the admission number (PAN) to increase from 240 to 270, once complete. There is a separate report on this Cabinet agenda covering the scheme in more detail.

2. Moulton School and Science College Expansion - £2,471,914

These works are to deal with reports of overcrowding/space difficulties in several areas of the school buildings, which have led to health and safety concerns. These difficulties have arisen as a result of increased student numbers generated by the new housing in the area. The school plans to build a new teaching block which will incorporate specialist teaching spaces to address a shortfall in this area and reconfigure existing undersized classrooms to make them appropriate for modern teaching. This will be a school managed project and a funding agreement will be in place, confirming no funding is available other than the S106 specified for the school. There is a separate report on this Cabinet agenda covering the scheme in more detail.

3. Community Libraries Programme – S106 Funding - £383,161

NCC is transferring the running of a number of libraries to be Community Managed Libraries. The libraries listed below will be Community Managed and there are amounts of s106 funding allocated for improvements to those library facilities:

Burton Latimer, Desborough, Earls Barton, Finedon, Long Buckby, Middleton Cheney, Raunds, Roade, Rothwell, Thrapson, Wollaston.

The s106 funding will be passported over to the Community Organisation and to ensure that the funding is used in accordance with each individual s106 agreement, the proposed improvements will be agreed in advance with NCC and a funding agreement will then be signed by both parties.

4. Country Parks Minor Developments - £114,390

This uplift is based on donations and funding already received. The majority of the additional contributions will be spent on a programme of improving children's adventure play areas and equipment on the basis that this is proven to increase/maintain revenue income. The remaining balance (approximately 10%) will be spent on access improvements.

5. Elizabeth Woodville School Refurbishment - £302,696

New housing development in the general locality and the increase in birth rate have now fed through to a demand for additional secondary school places in the south of the county. Elizabeth Woodville School has a teaching block which was decommissioned several years ago due to falling numbers at the school. It also has other mothballed facilities which if refurbished will be able to provide additional school places. This scheme will initially create an additional 30 school places per year group at the south campus and an additional 30 places in 2020-21 and 60 thereafter at the north campus.

9. Consultation and Scrutiny

9.1 The 2019-20 Capital Strategy and Capital Programme were subject to consultation and overview and scrutiny process review prior to approval by Full Council in February 2019.

10. Equality Screening

10.1. Where a scheme may have an impact upon customers with protected characteristics an equality impact assessment will be carried out by the service prior to the scheme being implemented to ensure that these implications are understood.

Reason that no EqIA is required	✓ as appropriate
The paper is for information only	
The proposal/activity/decision has no impact on customers or the service they receive	
The proposal impacts upon staff but the proposed staffing changes will not affect the service that customers receive*	
Other (Please explain further) as described above this is a finance approval report only and the service will ensure an EqIA is completed as appropriate prior to any changes to service delivery.	✓

11. Alternative Options Considered

11.1. The deliverability of the 2019-20 Capital Programme is monitored by each accountable project manager and senior officer. There is further review throughout the financial year through the operation of the Place Shaping Board and corporate oversight is provided through monthly NCC Leadership Team reviews.

11.2. If any overspends, or emerging pressures are identified during the year then mitigating actions will be sought and management interventions undertaken. Details of pressures, risks and mitigating actions implemented are provided as part of the finance monitoring reports as the year progresses.

12. Risk and Business Continuity Management

12.1. There are internal control processes in place to ensure that the Council does not spend beyond the resources available. Risk will be managed and monitored throughout the organisation during the delivery of the Council's budget plans.

13. List of appendices

13.1. Two appendices to this document are included. All information to enable a decision is included within the main report.

Appendix A – Detailed Service Summaries

Appendix B – Additional Funding Information

Author:	Name: Andrea Devereux Team: NCC Finance – Place and Corporate Services
Contact details:	Tel: 01604 367348 Email: adevereux@northamptonshire.gov.uk
Background Papers:	
Does the report propose a key decision is taken?	YES
If yes, is the decision in the Forward Plan?	YES
Will further decisions be required? If so, please outline the timetable here	NO
Is this report proposing an amendment to the budget and/or policy framework?	NO
Have the financial implications been cleared by the Strategic Finance Manager (SFM)? Have any capital spend implications passed through Capital Programme governance procedures?	Yes Name of SFM: Rosemary Pallot YES
Has the report been cleared by the relevant Director?	YES Name: Barry Scarr
Has the relevant Cabinet Member been consulted?	YES Cabinet Member: Cllr Malcolm Longley
Has the relevant scrutiny committee been consulted?	NO
Has the report been cleared by Legal Services?	Name of solicitor: Debbie Carter-Hughes Solicitor's comments:
Have any communications issues been cleared by Communications and Marketing?	YES Name of officer: Liam Beasley
Have any property issues been cleared by Property and Asset Management	NO
Has an Equalities Impact Assessment been carried out in relation to this report?	NO
Are there any community safety implications?	NO
Are there any environmental implications:	NO
Are there any Health & Safety Implications:	NO
Are there any Human Resources Implications:	NO
Are there any human rights implications:	NO
Constituency Interest:	ALL

Appendix A - Detailed Service Summaries

The Place Directorate has responsibility for all aspects of County Council services which comprise a physical, tangible or place-based asset within the geography of Northamptonshire. This enables the bringing together, and delivery of, the Council's Capital Programme, all PFIs and the management of the County Council's Estate, allowing economies of scale to be reaped from a consolidated and coordinated approach.

Environment, Planning & Transport (Table 6)

Environment, Planning & Transport 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	33,412
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Northampton Growth Management Scheme Phase 1	4,080
LTP Integrated Maintenance Block 2019-20	11,542
LTP Integrated Transport Block 2019-20	1,791
Pothole Fund 2019-20	812
Barton Road/Cranford Road Junction	880
Highways Maintenance Block Incentive Fund 2019-20	2,560
Household Waste Recycling Centres (HWRC) Sinking Fund Contribution	65
Integrated Transport Block 2018-19 (Top-up)	265
A509 Isham Bypass	475
Highways Depot Maintenance	100
North West Relief Road Uplift	462
Revised Capital Forecast	56,444
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(15,151)
New Forecast Outturn	41,293

The following major schemes are being progressed in 2019-20 (Table 7)

Scheme	P10 Forecast £000's	P11 Forecast £000's	Commentary
Local Transport Plan Maintenance Block 2019	11,542	11,514	A Department for Transport (DfT) capital grant for highway maintenance.
A43 Northampton to Kettering - Phase 1b	9,437	9,437	Moulton bypass section. Due for completion March 2020. Reduction in forecast 2019-20 costs based on review of costs to date.
Local Highways Maintenance	2,641	3,641	This is part of £6.8m grant profiled across 2 years received by NCC as part of the additional £420m new government funding announced in the November 2018 budget.
Highways Maintenance Block Incentive Fund 2019-20	2,560	2,560	A DfT grant to maintain Northamptonshire highways.
Northampton North West Relief Road	1,867	2,212	Design and planning works funded from NBC contributions.
National Productivity Fund – Cliftonville	1,500	1,500	A project to widen and improve Cliftonville Road in Northampton. The decision not to proceed with Billing Road junction improvements means expenditure will be more concentrated on earlier phases in 2019-20. The slippage in expenditure into 2020-21 is due to delays in funding being finalised.
LTP Integrated Transport Block 2019-20	1,541	1,541	A DfT grant for minor highway improvement works.
Smart Commuters	1,430	1,430	A scheme funded through the Local Growth Fund to bring live and user-focused travel information to Northamptonshire. The remaining £550k of expenditure will occur in early in 2020-21.
Northampton Superfast Broadband	1,422	1,422	The scheme is being delivered to renegotiated milestones. It has now been confirmed based on the most recent returns that some milestones will not now be fully complete and therefore claimable until early 2020-21. Expenditure has therefore been reprofiled.
Northampton Growth Management Scheme	800	800	A scheme to improve the A45 junctions in Northampton. Start further delayed pending Highways England approvals. Currently not expected to start on site with the main works until April 2020.
Other (under £1.0m)	5,195	5,236	
Total	39,935	41,293	

Children First Northamptonshire (Table 8)

Children First Northamptonshire 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	14,607
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
East Northants Education Restructure - Prince William	800
East Northants Education Restructure saving	(500)
Northampton Secondary Schools Capacity	2,300
Northampton Secondary Schools Capacity	396
Woodford Halse Primary School	129
Schools Strategic Maintenance - PFI Blinds	480
Billing Brook Special School Capacity	0
Huxlow School Mobiles	164
Radstone Primary School	135
Schools Minor Works Programme 2019-20	2,839
Manor School inc. Music dept.	634
Children's Complex Residential	151
Syresham St James CE Primary School	71
Manor School further uplift	46
Schools Capital including Devolved Formula Capital	427
Devolved Formula Capital Grant 2019-20	721
Revised Capital Forecast	23,400
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(6,255)
New Forecast Outturn	17,145

The following major schemes are being progressed in 2019-20 (Table 9)

Scheme	P10 Forecast £000's	P11 Forecast £000's	Commentary
Kettering Secondary School Extensions	5,859	5,859	£12m scheme. Within target completion dates of September/October 2020.
Northampton Secondary Schools Capacity	1,500	1,500	Project to add temporary pupil capacity. Abbeyfields and Duston elements are now profiled to be spent in 2020-21.
Latimer Arts College	1,904	1,904	School managed project to construct a new sports hall and all weather pitch.
Radstone Primary School	1,077	1,077	The additional forecast expenditure relates to works either not completed or remedial action required to address sub-standard work by the previous supplier.
Other Schemes (under £1.0m)	6,164	6,805	
Total	16,504	17,145	

Asset & Capital Management (Table 10)

Asset & Capital Management 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	1,080
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Denton Primary School Mobile Refurbishment	21
Angel Square Optimisation	500
Property Minor Works 2019-20	1,000
Revised Capital Forecast	2,601
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(1,254)
New Forecast Outturn	1,347

NASS (Table 11)

NASS 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	227
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	0
Community Equipment 2019-20	4,500
Assistive Technology	148
Beech Close	76
Chedworth House	300
Revised Capital Forecast	5,251
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(165)
New Forecast Outturn	5,086

The following major schemes are being progressed in 2019-20 (Table 12)

Scheme	P10 Forecast £000's	P11 Forecast £000's	Commentary
Community Equipment	4,000	4,500	Purchase all community equipment on behalf of Adult Social Care and Health.
Other (under £1.0m)	586	586	
Total	4,586	5,086	

Corporate Services (Table 13)

Corporate Services 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	0
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Social Care System Replacement	1,500
LGSS Law refinancing	475
Revised Capital Budget 2019-20	1,975
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(900)
New Forecast Outturn	1,075

The following major schemes are being progressed in 2019-20 (Table 14)

Scheme	P10 Forecast £000's	P11 Forecast £000's	Commentary
Social Care System Replacement	746	600	Carefirst system replacement. Some elements are not now due to start until 2020-21 and other elements are subject to additional testing before 'go live'.
Other (under £1m)	475	475	
Total	1,221	1,075	

Corporate and Community Services (Table 15)

Corporate and Community Services 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	2,183
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Libraries Self Service Terminals	350
Community Libraries Programme	27
Hunsbury Library	25
Brackley Library	50
Libraries Wi-Fi	83
Country Parks Pay & Display equipment	35
Oundle and Wootton Libraries	25
Revised Capital Budget 2019-20	2,778
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(2,433)
New Forecast Outturn	345

Note: the reduction from the opening forecast is due to slippage in works on Chester Farm Heritage Scheme until 2020-21 following the main contractor going into administration. This was the subject of a report to Cabinet in December 2019.

IT Infrastructure / Development (Table 17)

IT Infrastructure/Development 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	540
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Passport Replacements and IP addressing	20
VMWare Replacement	200
Server 2008 Replacement including extension	212
IT Stock Management	100
Tablet Refresh	400
Revised Capital Forecast	1,472
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	(547)
New Forecast Outturn	925

Wellbeing and Prevention Services (Table 18)

Wellbeing and Prevention Services 2019-20 Expenditure	£000's
Opening Forecast Spend 2019-20	0
New Schemes/Removals/Uplifts (approved by Cabinet since April 2019)	
Revised Capital Forecast	0
Net movement in forecast spend (includes project overspends, underspends and reprofiling)	150
New Forecast Outturn	150

Appendix B – Additional Funding Information

1. Funding of the Capital Programme

The Annual Treasury Strategy includes a supporting section identifying ‘actual and estimates of the ratio of financing costs to net revenue stream’ as a performance indicator.

The 2019 Treasury Management Strategy, which was approved by Full Council in February 2019, gave a forecast of 5.1% for 2019-20, increasing to 5.7% by 2022-23. This includes the benefits of the change in the MRP Policy on 22 March 2018.

The Treasury 2nd quarter reporting shows a small positive movement of 0.1% giving a current forecast ratio of 5.0% for 2019-20. The next update will be the final position at Closedown, no significant change is expected.

The 2020-21 forecast shown in the 2020 Treasury Strategy which was presented to February Cabinet and Full Council now shows a further reduction to 4.35% based on latest information.

2. Development Pool Schemes approved in the 2019-20 Capital Strategy with Discretionary Funding

The tables below show the development pool schemes requiring discretionary funding, which have been through a prioritisation process.

Discretionary Funded Development Pool Schemes approved to date and now part of the Committed Capital Programme (Table 19) – as at the end of Period 11

All figures £000's						
Schemes	Service Area	2019-20 £000's	2020-21 £000's	2021-22/ 2022-23 £000's	Total Discretionary Funding £000's	Reason for Extra funding
Beech Close Elderly Care	NASS	76	0	0	76	Revenue saving
Single Handed/ Assistive Tech. Project	NASS	300	0	0	300	Revenue saving
Community Equipment	NASS	4,000	0	0	4,000	Revenue saving
Housing for children with complex needs	CFN	151	0	0	151	Revenue saving
Schools Capital	CFN	427	0	0	427	Revenue saving
Household Waste Recycling Sinking Fund	PLACE	65	65	130	260	Revenue saving
Angel Square Optimisation	PLACE	500	0	0	500	Optimise use of building.
Property Asset Management Minor Works	PLACE	1,000	0	0	1,000	Statutory H&S and Service Provision

Discretionary Funded Development Pool Schemes approved to date and now part of the Committed Capital Programme (Table 19) – as at the end of Period 11

All figures £000's						
Schemes	Service Area	2019-20 £000's	2020-21 £000's	2021-22/ 2022-23 £000's	Total Discretionary Funding £000's	Reason for Extra funding
Highways Depot Winter Maintenance	PLACE	100	0	0	100	Revenue saving
Carefirst Replacement	Corporate	2,000	0	0	2,000	Statutory Service support
Ncloud Server	IT	140	0	0	140	Statutory Service support
Tablet Refresh	IT	400	0	0	400	Operational Efficiency
Library Self-Service Terminals renewal	PHWB	350	0	0	350	Revenue saving
Community Libraries Programme	PHWB	71	0	0	71	Revenue saving
Total Development Pool Discretionary Funding now in Committed Capital Programme		9,580	65	130	9,775	

Discretionary Funded Development Pool not yet approved into the Committed Capital Programme (Table 20) – as at the end of Period 11.

This now reflects the review undertaken for the 2020-21 development pool refresh.

All figures £000's						
Schemes	Service Area	2019-20 £000's	2020-21 £000's	2021-22/ 2022-23 £000's	Total Discretionary Funding £000's	Reason for funding
Single Handed/ Assistive Tech. Project	NASS	0	300	0	300	Revenue saving
Community Equipment	NASS	0	4,000	0	4,000	Revenue saving
Housing for children with complex needs	CFN	0	1,150	750	1,900	Revenue saving
Schools Capital	CFN	0	427	0	427	Revenue saving
Property Asset Management Minor Works	PLACE	0	1,000	2,000	3,000	Statutory H&S and Service Provision
Tablet Refresh/Device Management	IT	0	750	1500	2,250	Operational Efficiency

Discretionary Funded Development Pool Schemes approved to date and now part of the Committed Capital Programme (Table 19) – as at the end of Period 11

All figures £000's						
Schemes	Service Area	2019-20 £000's	2020-21 £000's	2021-22/ 2022-23 £000's	Total Discretionary Funding £000's	Reason for Extra funding
PC Refresh	IT	0	0	400	400	Operational Efficiency
Security Solutions to meet new standards	IT	0	170	0	170	Statutory Requirements/ Standards
SAN Replacement	IT	0	0	1,000	1,000	Statutory Service support
Netscaler Replacement	IT	0	0	200	200	Statutory Service support
Ncloud Server	IT	0	130	130	260	Statutory Service support
Office 365 implementation resources	IT	0	320	0	320	Statutory Service support
'Compute' capacity disaster recovery	IT	0	1,500	0	1,500	Statutory Service support
Community Libraries Programme	PHWB	0	179	0	179	Revenue saving
Total Forecast Remaining Discretionary Requirement		0	9,926	5,980	15,906	

3. Approved Capital Programme - Sources of Funding (Opening Monitoring Position 2019-20) (Table 21)

The table below shows the funding received to date in relation to current and previous years' expenditure within the current committed Capital Programme. It is an indicator to monitor that funding is being received in line with expectations and to comment on where there may be areas of concern.

	£m
Total funding requirement across Current Programme (all years)	663.2
Council borrowing previous years	(137.5)
Funding received to end of 2018-19 - grants/external contributions	(383.4)
Total still to be funded 2019-20 onwards	142.3
Discretionary Council funding 2019-20 onwards (including capital receipts)	(42.9)
External funding due from 2019-20 onwards (see Table 22)	99.4

Analysis of the balance of funding still to be received (Table 22)

	£m
Expenditure not incurred	41.9
Short term financing (including Daventry Development Link Road £20m and Castle Station £5m) in advance of income from related developments.	28.6
s106 outstanding contributions (to be paid in line with s106 trigger points which are linked to progress on specific developments, therefore payments will be received over a number of future years)	28.9
Total	99.4



CABINET

14 APRIL 2020

DIRECTOR OF CHILDREN FIRST NORTHAMPTONSHIRE: CATHI HADLEY

CABINET MEMBER WITH RESPONSIBILITY FOR CHILDREN, FAMILIES & EDUCATION: COUNCILLOR FIONA BAKER

<p>Subject:</p>	<p>New teaching block and internal refurbishment at Moulton School and Science College to increase and improve accommodation at the school.</p> <p>New teaching block at Magdalen College School to increase secondary school provision within Brackley.</p>
<p>Recommendations:</p>	<p>Cabinet are asked to:</p> <ul style="list-style-type: none"> • Note the proposal from Moulton School and Science College to utilise Section 106 money to build a new teaching block as well as remodel some internal classrooms to make them suitable for modern teaching; • Note the proposal from Magdalen College School to utilise Section 106 money to build a new teaching block to facilitate an increase in capacity at the school by 30 pupils per year group; • Approve the financial and governance arrangements associated with the capital schemes; • Note both Moulton School and Science College and Magdalen College School, as academy's, will manage their own project, following their procurement processes; • Delegate authority to the Director of Children First Northamptonshire to authorise all necessary legal, property and financial agreements to support effective delivery of these schemes.

1. Purpose of report

1.1 The purpose of this report is to provide Cabinet members with all the necessary information to make a fully informed decision on the proposals to;

- Approve the use of held Section 106 funds for Moulton School and Science College to increase and improve their teaching accommodation;
- Approve the use of held Section 106 funds for Magdalen College School to build additional teaching space to support an increase in their admission number of 30 places per year group;

2. How this decision contributes to the Council plan

2.1. The Council's vision is for Northamptonshire to be a county where everyone looks after each other and takes responsibility, where the vulnerable are protected and supported and where the people who can help themselves receive the assistance they need to stay independent and healthy.

This initiative helps the Council to deliver this vision through the following strategic priorities outlined in the Council Plan:

- Enabling individuals and communities to achieve better outcomes.
- Engaging with partners and communities to co-design and co-deliver services.
- Utilising the Council's assets effectively.
- Reducing inequalities and disparity of opportunities.

3. Background

Moulton School and Science College

- 3.1 Moulton School and Science College is a popular secondary school with Academy status. It primarily provides education for children in Moulton and local linked villages. There has been significant housing developments in the village and their linked area in recent years, which has generated S106 funds which specifically name the school as recipient.
- 3.2 The school has increased its admission number (PAN) gradually over the years to mitigate the increased demand related to the housing growth. In 2015 their PAN was 224, now it stands at 240. The school is at or over capacity in all its year groups and are experiencing overcrowding difficulties which has led to health and safety concerns.
- 3.3 Moulton commissioned a School Space Assessment which identified several areas of concern. These included limited dining space, shortage of science/ICT teaching spaces and undersized existing classrooms. They have already progressed a project to extend and improve their dining facilities. This was completed in autumn 2019 using S106 funds and has had a positive impact on dining provision for the students.
- 3.4 The school propose to build a new teaching block to address the existing shortfall in their accommodation and also remodel some internal classrooms to make them a suitable size for teaching classes of 30 students. They have commissioned construction and property consultants, Ridge, to complete feasibility plans and manage the project through the RIBA stages, to completion.
- 3.5 This is an academy run project and NCC have no involvement other than pass-porting Section 106 money to the school. There will be a funding agreement in place with an agreed schedule of payments, supported by certification, prior to the staged transfer of funds. The agreement also details that, in the event of an overspend, no extra funding is available.

Magdalen College School

- 3.6 Magdalen College School is a popular secondary academy based in Brackley. It primarily provides education for children in Brackley and local linked villages and is based on two split sites in the town. Significant housing building, including a 1000 housing development at Radstone Fields, has increased demand for secondary schools places at Magdalen and generated S106 specifically for the school.
- 3.7 The school plan to build a new teaching block to include 9 classrooms, 4 music practice rooms, associated ancillary spaces and changing facilities for the existing adjacent sports hall. This will enable them to increase their admission number by 30 places per year group.
- 3.8 The school have commissioned construction and property consultants, Synergy to complete feasibility plans and manage the project through the RIBA stages, to completion. Planning permission for the scheme was granted in 2018.
- 3.9 This is an academy run project and NCC have no involvement other than pass-porting Section 106 money to the school. There will be a funding agreement in place which will detail an agreed schedule of payments, supported by certification, prior to the staged transfer of funds. The agreement also details that, in the event of an overspend, no extra funding is available.

4. Consultation and Scrutiny

Moulton School and Science College

- 4.1 The school are responsible for ensuring they have completed all necessary consultation as this is a school managed project with NCC passporting S106 monies only.
- 4.2 The capacity of the school is not due to increase above the current PAN of 240. Construction of a new teaching block will be subject to a separate consultation, which forms part of the formal planning application process.

Magdalen College School

- 4.3 The school are responsible for ensuring they have completed all necessary consultation as this is a school managed project with NCC passporting S106 monies only.
- 4.4 Formal planning consultation has taken place as part of the application and planning approval process.

5. Equality Screening

Reason that no EqlA is required	✓ as appropriate
The paper is for information only	
The proposal/activity/decision has no impact on customers or the service they receive	
The proposal impacts upon staff but the proposed staffing changes will not affect the service that customers receive*	

Reason that no EqIA is required	✓ as appropriate
Other (Please explain further)	✓

5.1 NCC are transferring Section 106 funds held by the authority, specifically named for Moulton and Magdalen School, for them to undertake their extensions. The schools, as academies, make decisions on how the schools are run and have employed consultants to manage the projects from conception to completion. The additional teaching space will have a positive impact for all pupils attending the schools.

6. Alternative Options Considered

6.1 Both schools have undertaken detailed feasibility studies to assess their requirements and identify the most suitable solution to maximise their available teaching space.

6.2 Each scheme has been designed to provide the maximum benefit to each school. Should the funding not be spent at each school, the funding would be returned to the housing developer who originally made the contribution. Under the terms of the Section 106 agreements, this funding cannot be allocated at any other school in the county.

7. Financial Implications

7.1 Both the Moulton School and Science College and the Magdalen College School schemes are cost neutral to the council. They involve the transfer of £2,471,913 and £3,222,901 respectively of Section 106 monies. These are banked and held by the County Council and will be transferred to the schools on a monthly basis on receipt of sectional completion/contractor invoices.

7.2 The schemes were reviewed by the Capital Approvals Board on 5 March 2020 and recommended for inclusion in the Monthly Capital Report elsewhere on this Cabinet agenda in order to secure approval for promotion from the Development Pool into the committed Capital Programme.

	Current year	Forecast		
	2020/21	2021/22	2022/23	2023/24 & beyond
	£	£	£	£
Capital Investment				
Moulton School and Science College	1,189,734	1,226,893	55,286	0
Magdalen College School	1,848,801	1,301,600	72,500	0
	3,038,535	2,528,493	127,786	0
Funded by	Section 106 funds			
On-going revenue costs	Any additional revenue costs will be met from the schools' own budgets.			
Funding by	Dedicated Schools Grant (DSG)			

What benefits will the proposal deliver?	<ul style="list-style-type: none"> • Increased school place provision; • Capital investment and improved school facilities; • Increased diversity and choice for parents.
--	--

8. Risk and Business Continuity Management

a) Risk(s) associated with the proposal

Risk	Mitigation	Residual Risk
Insufficient capital resource	Funding limited to banked S106 monies held. Schools to value engineer schemes if costs exceed budget.	Green
Planning permission not granted (Moulton)	Early consultation with statutory consultees & local communities	Amber

b) Risk(s) associated with not undertaking the proposal

Risk	Risk Rating
Insufficient school places leading to NCC failing its statutory duty in providing sufficiency of school places.	Red
Unsuitable teaching spaces for the number of children on roll.	Red

9. List of Appendices

None

Author:	Name: Jackie Desmond Team: Strategic Planning
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Background Papers:	
Does the report propose a key decision is taken?	YES
If yes, is the decision in the Forward Plan?	YES
Will further decisions be required? If so please outline the timetable here	N/A
Does the report include delegated decisions? If so, please outline the timetable here	
Is this report proposing an amendment to the budget and/or policy framework?	NO
Have the financial implications been cleared by the Strategic Finance Manager (SFM)? Have any capital spend implications passed through Capital Programme governance procedures?	YES Name of SFM: Rosemary Pallot YES
Has the report been cleared by the relevant Director?	YES Name of Director: Cathi Hadley

Has the relevant Cabinet Member been consulted?	YES Cabinet Member: Cllr Baker
Has the relevant scrutiny committee been consulted?	NO
Has the report been cleared by Legal Services?	YES Name of solicitor: Duncan Bisatt
Have any communications issues been cleared by Communications and Marketing?	YES Name of officer: Liam Beasley
Have any property issues been cleared by Property and Asset Management?	YES Name of officer: James Wheeler
Have the Procurement Implications below been referenced in the Paper: <ul style="list-style-type: none"> • Have you evidenced compliance with the Council's Contract Procedures Rules? • Have you made clear in this paper where you are seeking Cabinet to approve an exemption from the Contract Procedure Rules and detailed the risks and mitigations? • Have you identified any EU or UK legislative risks such as non-compliance with the Public Contract Regulations Act 2015, transparency and open competition? • Have you identified the procurement risks associated with a contract? 	N/A
Are there any community safety implications?	YES These are considered at an individual scheme level and are part of the planning process.
Are there any environmental implications:	YES These are considered at an individual scheme level and are part of the planning process.
Are there any Health and Safety Implications:	YES These are considered at an individual scheme level and are part of the planning process.
Are there any Human Resources Implications:	NO
Are there any human rights implications:	NO
Constituency Interest:	Cllr J Shephard (Moulton) Cllr F Baker (Magdalen)